

**NATIONAL INSTITUTE OF SECURITIES
MARKETS
ICT DEPARTMENT**

**AMMENDMENT-1
TO
REQUEST FOR PROPOSAL
FOR
SECURTIY AND PERFORMANCE AUDIT
OF
PUBLIC FACING APPLICATIONS
(Document Reference No: NISM/ICT/RFP/03/2015-16)**

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AMMENDMENT-1 TO RFP

Following the correspondence received from prospective bidders (by email) and discussion held in the pre-bid meeting on 22nd September 2015 at NISM Bhavan regarding the above mentioned “Request for Proposal (RFP)”, the following amendments are issued to the RFP. The supplier must submit their proposals based on the original RFP and this ammendment. The two documents must be read in conjunction to ensure submission of flawless proposal.

A paragraph on “Review” is added under **Section 4 on “DELIVERY OF AUDIT REPORTS AND PROJECT SIGN OFF”** as follows:

1. Review

1. The supplier will be required to carry out the review of actions taken by NISM in-line with recommendation in the signed off audit report.
2. The supplier will be required to carry out such reviews during the period from 1st April, 2016 to 31st March, 2018. The quoted price in the proposal for reviews will remain unchanged for the stated period.
3. The estimated duration for each review will be 10 days.
4. The reviews will be carried out by the supplier as and when NISM is prepared for the review. Such reviews will be carried out by the supplier on receiving a separate purchase order for the same from NISM.

2. Additional Eligibility Criteria

Sub Section 6.4 is added to the **Section 6 on “ELIGIBILITY CRITERIA”** as follows:

6.4 Additional Eligibility Criteria

- The current technology partners for NISM’s public facing applications (SYNC, OCRES and Skills Registry) are not eligible to bid for this RFP.
- Only bids from CERT-IN empanelled companies for the year 2015 will be considered. The bidders must be empanelment with CERT-IN as per the latest list of

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year 2015. A copy of the valid empanelment certificate must be enclosed in “Envelope - 2”.

3. Amendment in Section - 2.2 on page - 3 of RFP

Checklist of items to be submitted in Envelope-2 as mentioned under Sub Section 2.2 on page - 3 of RFP has the following additional item.

8	Copy of certificate of empanelment with CERT-IN for the year 2015
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4. Amendment to Section 3.2 on “Resource Requirement”

Refer to 2nd row in the table given under Section - 3.2 on page - 9 of RFP.

1. The number of years of experience for the resource - “Developer” is six years instead of eight years. The experience of the resource must also include application security auditing and code efficiency review.
2. The rest of the information remains unchanged.

5. Additional Annexures

“Annexure - G” and “Annexure - H” as given in this document are added to RFP.

6. Amendment to Annexure - E

The supplier must submit “Price Bid” as per the ammended Annexure - E given below.
Please do not use the Annexure - E provided in the RFP.

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ANNEXURE - E
Price Bid

(This will be submitted in envelope-3)

COMPANY NAME: _____

ADDRESS: _____

CONTACT PERSON: _____ PHONE NUMBER: _____

EMAIL: _____ WEB SITE: _____

Cost

#	Item/Description	Quantity	Unit Price (Inclusive of applicable Taxes)	Total Price (Inclusive of applicable Taxes)
1	Security and Performance Audit of SYNC, OCRES and Skills Registry covering the entire scope as mentioned in section-3 of this RFP and meeting all other terms and conditions of this RFP.	1		
2	Audit Review (for an estimated duration of 10 days)	1		
Total				

NOTE: The price must include all applicable taxes.

 Signature of the Authorized Signatory of Company
 Name:
 Designation:
 Contact no (mobile):
 Email Id:
 Company Seal:

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ANNEXURE - G				
Public Facing Applications Information				
#	Common Questions	Application 1 (SYNC)	Application 2 (OCRES)	Application 3 (Skills Registry)
1.	Lines of code	426154	323849	51077
2.	Number of Screens	185	106	25
3.	Number of dynamic pages.	259	130	79
4.	Number of static pages.	22	14	7
5.	Number of login pages.	2	2	1
6.	No. of user privileges.	153	153	5
7.	Total no. of user roles.	22	22	3
8.	Total no. of input fields.	1380	550	120
9.	Customized	YES	YES	YES
10.	Authentication Mechanism.	Username and password based authentication over https.	Username and password based authentication over https.	Username and password based authentication over https.
11.	Technology used.	ASP .net 2.0 AJAX Extensions Microsoft .NET Framework 2.0.50727.5420	ASP .net 2.0 AJAX Extensions Microsoft .NET Framework 2.0.50727.5420	ASP .net 2.0 AJAX Extensions Microsoft .NET Framework 2.0.50727.5420
12.	Database	MS SQL Server 2008 R2	MS SQL Server 2008 R2	MS SQL Server 2008 R2
13.	Web server	IIS 7.5.7600.16385	IIS 7.5.7600.16385	IIS 7.5.7600.16385

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14.	Hardware Platform.	Dell- PowerEdge R820, Intel(R) Xeon(R) CPU E5-46170@2.90GHz (2 processors), RAM- 256GB, 64 bit OS.	Dell- PowerEdge R820, Intel(R) Xeon(R) CPU E5-46170@2.90GHz (2 processors), RAM- 256GB, 64 bit OS.	Dell- PowerEdge R820, Intel(R) Xeon(R) CPU E5-46170@2.90GHz (2 processors), RAM- 256GB, 64 bit OS.
15.	Operating System.	Windows server 2008 R2 Enterprise Service Pack 1	Windows server 2008 R2 Enterprise Service Pack 1	Windows server 2008 R2 Enterprise Service Pack 1
16.	Whether any payment system, crypto, digital signature, gateway is involved? If yes, please provide details.	Integration with third party payment gateway TechProcess Payment Services Limited (TPSL).		
17.	Whether testing of web applications has to be done internally/externally	Internally as well as externally.		

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ANNEXURE - H			
Data Center Infrastructure			
#	Description	Quantity	
1.	Application Servers	2	
2.	OS	3	
3.	Routers (shared)	1	
4.	Switches(shared)	1	
5.	Database servers	1	
6.	Firewall (virtual)	1	
NISM Bhavan Infrastructure			
#	Description	Make and Model	Quantity
1.	Desktops	OS : Windows XP, 7 , 8, 8.1	12
2.	LLB	Array APV 1600	1
3.	Router	Cisco 1841	1
4.	Access Point Wi-Fi	Extreme AP 3705 indoor access point (NISM would like the supplier to check 2 or 3 access points only and make recommendations if any)	30
5.	Wi-Fi Controller	Extreme Enterasys C25 controller	1
6.	Firewall	Sonicwall NSA 240	1
7.	Managed Switch	Cisco Catalyst 2960	1

Note: The above list is by and large exhaustive. However there could be minor variation in quantity.
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