



**NATIONAL INSTITUTE OF SECURITIES
MARKETS**

**EXPRESSION OF INTEREST (EOI)
FOR
PROCUREMENT OF FIREWALLS WITH THREAT
PROTECTION SUBSCRIPTIONS, WARRANTY AND
SUPPORT FOR THREE YEARS
AT
NISM CAMPUS, PATALGANGA
(Document Reference No: NISM/ICT/EOI/04/2021-22)**

NISM Campus,
Plot No. IS-1 and IS-2,
(Opp. HDFC Bank, Rasayani Branch),
Patalganga Industrial Area,
Village Mohopada (Wasambe),
Taluka - Khalapur,
District Raigad, Pin code - 410 222
Web: www.nism.ac.in

1. INTRODUCTION

National Institute of Securities Markets (NISM) is a public trust, an educational initiative of Securities and Exchange Board of India (SEBI) with the objective of enhancing the quality of securities market in the country through knowledge-based interventions. National Institute of Securities Markets has 70-Acres campus at Patalganga, a location around 40 kilometers away from Vashi on Mumbai-Pune highway.

This document is inviting the expression of interest (EOI) to shortlist the national companies for Supply, Installation and Configuration of FortiGate-600E firewalls with three years of threat protection subscriptions, warranty and support at NISM campus, Patalganga.

2. SUBMISSION OF EXPRESSION OF INTEREST

The Expression of Interest (EOI) must be prepared covering the following information and submitted to NISM at the address contained herein on or before the closing date specified in Section-6 of this document. Please refer the checklist given in Section-2.2 to ensure that the submission has all the required documents.

2.1 EOI Submission

- The sealed envelope will contain documents pertaining to Eligibility Bid will be super scribed as "<Company Name> - Eligibility Bid for EOI-NISM/ICT/EOI/04/2021-22".
- The EOI envelope must be submitted with the documents to the following address:

National Institute of Securities Markets,
3rd Floor, NISM Bhavan, Plot No. 82,
Sector - 17, Vashi, Navi Mumbai - 400 703.

2.2 Checklist of Expression of Interest

The Companies are advised to carefully take a note of the following points to ensure proper submission of EOI as mentioned in Section 2.1 complete in all respects.

#	Content Check list
1.	Application Form - Annexure-A
2.	Purchase Orders and Completion Certificates as mentioned in Section 4.1.
3.	Company balance sheets with profit and loss account statement for 3 years as stated in Section 4.2.
4.	Certificate of incorporation of the company or the lead company of the consortium responding to the EOI.
5.	Company Profile - Annexure-B
6.	Projects Information - Annexure-C
7.	Copy of GST Certificate
8.	Copy of Company's PAN

2.3 Other Information, Terms and Conditions

- The company shall only be national supplier (firms registered or incorporated in India).
- The company is advised to study all eligible aspects, instructions, forms, mentioned in this document carefully. Failure to furnish all required information or submission of EOI not substantially responsive to the eligibility criteria in every respect will be at the company's risk and may result in rejection of EOI.
- Each relevant document mentioned in section 2.2 shall be signed by the person / persons on behalf of the organization having necessary authorization / Power to do so.
- EOI not submitted in the prescribed format or incomplete in any manner is likely to be rejected.
- NISM is not responsible for non-receipt of EOI within the specified due date because of any reason including postal delay or holidays.
- Only one response to this request for EOI from each company will be permitted. In case of partnerships / consortium, only one submission is permitted through the lead company.
- All expenses incurred towards the preparation and submission of EOI documents will be entirely borne by the company itself.
- Documents/Annexures containing false and / or inadequate information are liable for rejection. Company shall be disqualified at any stage at their risk and cost if they are found to have "Made untrue or false representation in the An-

nexures, statements and attachments submitted in proof of qualification and requirements.”

- NISM reserves the right to reject any or all the EOIs without assigning any reason thereof. NISM also reserves the right to restrict number of shortlisted companies for tendering at its sole discretion. The decision of NISM regarding the shortlisting of companies shall be final and binding on all concerned.
- The shortlisted companies will only be informed for further participation in the tender process and tender document will be issued to shortlisted companies only. Costs incurred by the applicant in applying, in providing necessary clarifications or attending discussion, conferences or site visits will not be reimbursed by NISM.

2.4 Contact Details

a) The EOI envelope must be submitted with the documents to the following officer:

Mr. Pravin Ghadole,
Sr. DGM-IT,
National Institute of Securities Markets,
3rd Floor, NISM Bhavan, Plot No. 82,
Sector - 17, Vashi, Navi Mumbai - 400 703.

b) For any clarifications/queries contact the following person:

Mr. Prashant Bivare - AGM-IT
Email: Prashant.bivare@nism.ac.in,
Contact Tel.: 02192-668386/7719911211

3. Brief Scope of work:

Currently, NISM using two firewalls (FortiGate 1500D) installed in 2017 for network security purpose. FortiGate firewalls are configured in High availability mode as active-passive group. All LAN and WAN interfaces are configured in both the primary and secondary firewalls. Firewall also performs load balancing for two ILLs (Internet Leased Line) at campus. It also holds different User groups, Content filtering, Bandwidth capping policies and VPN tunneling for remote access from other NISM offices. NISM wants to switch the existing firewalls arrangements

(FortiGate 1500D) with another Fortinet model i.e. Fortigate-600E. Detail Specifications mentioned in Section - 3.1

The supplier is required to deliver, install and configure firewalls within 6 weeks from the date of issuance of the Purchase Order. However, any additional features may also be enabled after discussion with NISM.

3.1 Specifications

Specifications of Firewall and subscriptions mentioned below. The associated services are mentioned in Section - 3.2.

#	Item/ Component	Features	Qty
1	FortiGate Firewall Model: 600E Warranty, License and Subscription for 3 Years.	<ul style="list-style-type: none"> • 2 x 10GE SFP+ slots, 10 x GE RJ45 ports (including 1 x MGMT port, 1 X HA port, 8 x switch ports), 8 x GE SFP slots, SPU NP6 and CP9 hardware accelerated • 2 X 10GE SFP transceiver modules • Additional AC power supply for FG-600E with power cord • Firmware & General Updates • Intrusion Prevention • Antivirus • AntiSpam • Web & Video Filtering • Outbreak Prevention • NGFW • Application Control • Advanced Malware Protection • FortiCare Support 24x7 • Period - 3 years 	2
2	Delivery, Installation and Configuration of Firewalls and other services as mentioned in section 3.2		1

3.2 Services

The following services should be rendered by the supplier:

- Supply, Installation and Configuration of Firewall devices as suggested by NISM.

- Acceptance testing or Verification of the Firewall services to the satisfaction of NISM.
- The supplier will deploy a suitably qualified and experienced resource for an adequate period to carry out the above tasks.
- Warranty Support Service is as follows:
 - It will cover OEM Technical Assistance Center (TAC) support as well as Priority Hardware replacement for the selected mentioned period. The technical resource from OEM will be responsible to attend to the hardware problems which include removal of faulty device from the network, installation of the new hardware etc. Configuration of the device will be done by the supplier as per the requirements.
 - This support will be mostly remote support (email and telephonic) but if required it may call for on-site presence of technical resource (from supplier).

3.3 Delivery Location

The Supplier will deliver and configure the license and subscription on the Firewalls deployed at the following location:

National Institute of Securities Markets,
Plot No. IS-1 and IS-2 (Opp. HDFC Bank, Rasayani Branch),
Patalganga Industrial Area, Village Mohopada (Wasambe),
Taluka - Khalapur, District Raigad, Maharashtra - 410 222

4. ELIGIBILITY CRITERIA

The Company intending to submit eligibility bid must meet the following criteria. The eligibility bid of the companies not meeting these criteria shall be rejected. NISM may ask for supplementary information or additional documents as necessary by email only to address inadequacy of information to develop more clarity at the time of eligibility assessment of each company within 3 days from the date of opening of eligibility information. NISM is not responsible for any delay in receiving responses or non-receipt of any response from the companies to such requests. All email correspondence will be addressed to the email id mentioned under Section 2.4 (b).

The items requested in Section-4.1 to 4.4 and those mentioned in Section 2.2 must all be submitted in a single envelope.

4.1. Experience

Should have carried out delivery, installation & warranty, support of firewalls at either of the following scales in the past 7 years (ending February 2022).

Should have executed three orders of similar nature, each order not less than **Rs. 14,64,708/-**.

OR

Should have executed two orders of similar nature, each order value not less than **Rs. 18,30,885/-**.

OR

Should have executed one order of similar nature not less than **Rs. 29,29,416/-**.

The Company / Lead Company (in case of a consortium) must enclose copies of Purchase / Work Orders and Completion Certificates in support of the above. In case of a Lead Company, the Purchase / Work Orders must be in the name of the Lead Company. This should be submitted in Envelope as stated in Section-2.2.

4.2. Company Turnover

The company must have an annual average turnover of **Rs. 1,58,18,846/-** for the FY 2018-19, 2019-20 and 2020-21.

The Company must enclose copies of Audited Company Balance sheet along with Profit and Loss Account statement for the said financial years. This should be submitted in an envelope as stated in Section-2.2.

4.3. GST Certificate

The company must submit a copy of GST Certificate.

4.4. Other Relevant Documents

The company must submit the other relevant documents / annexures as per the checklist mentioned in Section 2.2.

5. SHORTLISTING OF COMPANIES

5.1. Evaluation Process

If the company is meeting all the criteria stated in Section-4 then the company will be considered for shortlisting.

All decisions made by the Evaluation Committee of NISM during the evaluation process will be final and binding on all companies.

5.2. Notification to Unsuccessful Companies

Unsuccessful companies will be notified by email after the process is completed. Any queries regarding an unsuccessful company should be mailed to the contact address mentioned in Section-2.4 of this document.

6. IMPORTANT DATES

- Date of Issuance of Eligibility Bid: **07th March 2022**
- Date and Time of submission of duly filled Eligibility Bid: **22nd March 2022 by 16:00 hours** at 3rd Floor, NISM Bhavan, Vashi, Navi Mumbai.
- The envelope will be opened on **22nd March 2022 at 16.30 hours** in the Board Room, 5th Floor, NISM Bhavan, Vashi, Navi Mumbai.

7. INSPECTION

NISM reserves the right to inspect the project carried out by the company to satisfy itself regarding quality, finishes, and competence of the company to execute similar projects before considering any company for shortlisting. The project executed by the company, that fulfill the criteria stipulated above, shall only be inspected and the shortlisting of the company will depend upon the overall quality, finishing of the project inspected, competence of the company to take up the similar projects. The decision of NISM in this regard shall be final and binding on all concerned.

**ANNEXURE - A
APPLICATION FORM**

(This should be included in envelop and should be on the company letterhead)

To,
Sr. DGM-IT,
National Institute of Securities Markets,
5th Floor, NISM Bhavan, Plot No. 82,
Sector - 17, Vashi, Navi Mumbai - 400703

Dear Sir,

- I/We have read and understood the instructions/ information and the terms and conditions contained in the request for EOI.
- We certify that we have provided all the information requested by NISM in the requested format. We also understand that NISM has the right to reject the EOI if NISM finds that the required information is not provided or is provided in a different format not suitable for evaluation process for any other reason as it deems fit. NISM's decision shall be final and binding on us.
- We authorize NISM to approach individuals, employers, firms and corporations to verify our competence and general reputation. The undersigned is fully authorized to sign and submit this application form on behalf of the organization, he / she represents.
- I/We am/are hereby submitting the complete EOI (including all Annexures, Purchase Orders, completion certificate, Balance Sheet and other items mentioned in Section 2.2) duly signed and sealed on each page.
- We here at confirm that we have fully operational office/service centre in Mumbai Metropolitan Region /Pune. The address and other contact details of the office/service centre are given below:

COMPANY NAME: _____

ADDRESS: _____

TEL: _____

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Email: _____

Mobile: _____

Thank you.
Yours faithfully,

Signature of the Authorized Signatory of Company

Name:

Designation:

Contact no (mobile):

Fax and Email Address:

Official Seal:

(This letter should be typed on the letterhead of the Company and must be signed by the Authorized Signatory of the
Company/Lead Company of the Consortium)

ANNEXURE -B

Company Profile

(This should be included in Envelope)

Name of the company	
Legal Status (e.g., sole proprietor, partnership, limited liability partnership, corporation etc., (Attach a copy of the certificate of incorporation)	
Registered Physical Address	
Business Profile of the company (Attach a separate write-up or brochure that provides details of the business activities of the company)	
Year of Establishment	
GST IN	
Email ID of the company	
PAN Number of the company	
TAN Number of the company	
Name of the Contact Person with Mobile and land line Number	

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Company Name as it appears in its Bank Account	
Bank Account Number	
Type of Account	
Bank Name	
Bank's Branch Address	
Bank Branch IFSC Code	

Signature of the Authorized Signatory of Company
Name:
Designation:
Contact no. (Mobile):
Email Id:
Company Seal:

ANNEXURE-C

(This should be included in Envelope)

**List of projects on hand / Completed by the company costing
not less than Rs. 14,64,708/-**

#	Name of the Project and location	Name of Client with full address. Name and Contact No. of contact person	Project cost in lakh	Date of commencement	Date / Likely date of completion	Present stage of project with reasons if the project is getting delayed	Litigations, if any or any other relevant information

Signature of the Authorized Signatory of Company

Name:

Designation:

Contact no. (Mobile):

Email Id:

Company Seal: