



भारतीय प्रतिभूति और विनियम बोर्ड
Securities and Exchange Board of India



राष्ट्रीय प्रतिभूति बाजार संस्थान
National Institute of Securities Markets
A Capacity Building Initiative of SEBI



5th SEBI-NISM Research Conference

Sustained Capital Formation –The Way Forward 13-14 March, 2024

In association with  NSE &  BSE

About the Conference

SEBI and NISM in association with NSE and BSE are glad to invite academicians, researchers, policymakers, regulators, students and other stakeholders to participate in the 5th SEBI-NISM Research Conference on “Sustained Capital Formation –The Way Forward”. The conference is to be held during March 13-14, 2024 in physical mode at NSE, BKC, Mumbai (Day-1) & NISM Campus, Patalganga (Day-2). Panel Discussions will be conducted at NSE, BKC, Mumbai on Day-1. Selected Research Papers will be presented at NISM Campus, Patalganga on Day-2.

The goal of this conference is to continuously improve the research standards in securities markets, facilitate industry academia interaction and exchange of information and knowledge. The conference aims at providing a platform for every participant to share their unique perspectives, thoughts and knowledge.

Key Themes

Broad conference themes include the following:

- Capital Formation and Inclusion through Deepening of Securities Markets- Extant Policy Framework and Way Forward;
- Behavioural Analysis of Investors across various asset classes;
- Sustainable Finance- Global Experiences and Lessons to learn;
- Use of Big Data and Machine Learning;
- Infrastructure Financing.

Call for Papers

Academicians, researchers, policy makers, regulators, students and other stakeholders are invited to submit full papers related to the above specified conference themes. Further details of themes are given on the conference website (bit.ly/5th-SNRC-2024) under the 'Themes' section.

The submitted work should not have been published elsewhere and should not be intended to be published elsewhere during the review period. Papers can be submitted under two different categories:

- 1. Regular Category (RC)**
- 2. Young Researcher (YR) Category**

Separate Category and Session for Young Researchers:

Objective behind organizing Research Conference is to promote research in the area of securities markets in India especially providing a platform for Students/Young Researchers (YRs). Like last year, this year also, we will be accepting papers that deal with various issues concerning securities markets from YRs. The aim is to help YRs to present their research ideas and solicit feedback and guidance so as to enhance the relevance and quality of their papers.

- The topics should be related to securities markets and need not be restricted only to the conference themes. Preference will be given to empirical papers with meaningful conclusions and policy implications.
- Participants can be under-graduate, graduate, PG students or young researchers pursuing full time PhD with any university and below 35 years of age.
- There will be a cash prize of ₹15,000 for the best paper in this segment.
- There will be special YR Category session for presentation of Selected YR papers in the conference. The session will be open to the conference audience.

Paper Submission

Final papers are to be submitted on or before the specified dates through the paper submission link (<https://conference.nism.ac.in/>) as per detailed guidelines available on the conference website.

- The submission link will be same for both categories.
- Same author cannot submit papers under both categories i.e. YR Category and Regular Category.
- Selected authors from YR Category will have to provide Proof for Date of Birth and Proof of education level from the HoD of their Department or schools/PhD guide on letter head after selection of their papers.
- Those submitting papers in YRs category have to state the paper title as YRC- title of paper. Regular Category researchers needs to mention paper title only. Further details are provided in paper submission guidelines under "Call for Papers".
- Papers not submitted as per the submission guidelines will not be accepted.

Criteria for Selection of Papers and Awards

1. Papers submitted on or before the timeline will only be considered.
2. The papers submitted under the regular category should be strictly as per themes provided in the call for papers.
3. Further, for the submitted papers, more weightage will be given to the paper providing suggestions for achieving and improving SEBI's broader objectives i.e. to protect the interests of investors in securities and to promote the development of, and to regulate the securities market and for matters connected therewith or incidental thereto.
4. Decision of Selection Committee on acceptance /rejection of the paper will be final.
5. An amount of ₹10,000 will be awarded per selected paper to the author (to the first author only, if the paper is co-authored). There will be no such award for submissions under YRs Category.
6. There will be three Best Paper Awards (first, second and third) and will be awarded ₹45,000, ₹35,000 and ₹25,000 respectively, which will be announced at valedictory session.

About SEBI

SEBI is the apex regulator of the Indian securities market. The Preamble of SEBI describes the basic functions of SEBI as *".....to protect the interests of investors in securities and to promote the development of, and to regulate the securities market and for matters connected therewith or incidental thereto."*

For more details, please visit
www.sebi.gov.in.



About NISM

The National Institute of Securities Markets (NISM) is a public trust established in 2006 by SEBI. The institute carries out a wide range of capacity building activities at various levels aimed at enhancing the quality standards and increase the participation in the securities markets. The institute's six schools of excellence work towards creating a professionalized securities markets.

For more details, please visit
www.nism.ac.in.

Themes

1. Capital Formation and Inclusion through Deepening of Securities Markets- Extant Policy Framework and Way Forward

- a. Equity
- b. Mutual Funds
- c. Corporate and Infrastructure Bonds
- d. Alternative Investments (e.g. AIFs, REITs and InvITs)
- e. Stewardship role of Institutional Investors
- f. Transparency and Harmonisation of Disclosures
- g. Any Other Related Topics

2. Behavioural Analysis of Investors across various Asset Classes

- a. Behavioural Analysis of Investors in Securities Markets (Individual Investors, DIIs and FPIs)
- b. Efficacy of Investor Awareness Programs
- c. Financialization and Securities Market Inclusion
- d. Fin-fluencers and their Impact on Investor Behaviour
- e. Any Other Related Topics

3. Sustainable Finance-Global Experiences and Lessons to learn

- a. Climate Financing
- b. Disclosures related to Sustainable Finance
- c. Green Washing- Issues and Experiences in reducing the same
- d. Climate Physical and Transition Risks- Ability of markets to price and recognise such risks
- e. Systemic Risks Arising from "Green Swan" Events
- f. Any Other Related Topics

4. Use of Big Data and Machine Learning

- a. Digitisation- Impact on Investor Protection
- b. Digitisation- Path to Single Source of Truth
- c. Analysing Frauds and Irregularities in Securities Markets
- d. Compliance with various Rules and Regulations
- e. Identifying Non- Compliance
- f. Any Other Related Topics

5. Infrastructure Financing

- a. Infrastructure Financing - Development and new instruments
- b. New Instruments for Infrastructure Financing – Scope and Suggestions
- c. Current Challenges for Infrastructure Financing in India
- d. Any Other Related Topics

Key Dates

Dates for the Conference: March 13-14, 2024

Last date for Paper Submission: January 20, 2024

Announcement of Paper Acceptance: February 15, 2024

Commencement of Registration: February 10, 2024

Registration Ends by: March 10, 2024

Who can submit Paper?

This conference is open for all the researchers, faculty members, market intermediaries, policy makers, regulators, students and other stakeholders in the areas of finance across the globe.

How to Register?

All interested participants including paper presenters will register through registration link. Registration Link for Participants will be provided on the date of opening of registration. Please visit conference website (bit.ly/5th-SNRC-2024) to get yourself updated.

Conference Advisory Committee

SEBI

Mr. Ananth Narayan G
Whole Time Member, SEBI

Mr. Amarjeet Singh
Whole Time Member, SEBI

Mr. Pramod Rao
Executive Director, SEBI

Conference Program Committee

SEBI

Mr. Prabhas Kumar Rath
Chief General Manager, SEBI

Mr. Sahil Malik
Chief General Manager, SEBI

Dr. Deepali Dixit
Deputy General Manager, SEBI

NISM

Mr. Sunil Kadam (Chairman)
Registrar, NISM

Dr. Latha Chari
Professor & HOD, NISM

Dr. Dhiraj Jain
Professor, NISM

Dr. Meraj Inamdar
Assistant Professor, NISM

Conference Organising Committee

SEBI

Ms. Vinod Kumari
Manager, SEBI

Mr. Ashish Kumar Mallik
Assistant Manager, SEBI

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Mr. Ashutosh Kumar
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For Conference Related Queries, Contact:

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Note: Participants are advised to keep visiting conference website to get updates on the conference.