

NiSM NATIONAL INSTITUTE OF SECURITIES MARKETS
An Educational Initiative of SEBI

NISM CAMPUS NEWS

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Editors

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Programme on "Securities Markets and Office Management for BSEC"



NISM conducted a Two-week Programme on "Securities Market and Office Management" for Personal Officers of Ministry of Finance, Government of Bangladesh and Bangladesh Securities and Exchange Commission (BSEC) during November 19-December 04, 2018 at NISM Campus, Pathalganga

The programme was inaugurated by Mr. K. Sukumaran, Dean, NISM. 12 Personal Officers of BSEC and two Personal Officers of Ministry of Finance, Government of Bangladesh, had participated in the programme.

The programme was aimed at providing an overview of Indian Securities Markets as well as effective office management. The programme included classroom sessions, activity-based learning and learning at Finance Lab. Mr. Sunil Kadam, Registrar, NISM, delivered the Valedictory Session and awarded the Certificate of Participation to the Officers.

NISM Series of Programmes on Mutual Funds & Wealth Management for SBI



NISM had conducted a series of three day workshops at its Pathalganga Campus in the month of December 2018 on "Mutual Funds and Wealth Management" for the Officers of the leading public Sector Bank, State Bank of India.

The objective of the program was to provide an understanding of the basic framework of various mutual funds schemes and update the Bank Officers with the latest developments. This was aimed to help the Officers posted at various Branches of the Bank to handle the clientele effectively and channelize the public savings into the securities markets.

First Series

The first series of the workshop was conducted from December 5 to 7, 2018 and it was inaugurated in the presence of Dr. M Thenmozhi, Director NISM, Shri K Sukumaran, Dean NISM and Shri Ajay Mittal, Vice President, SBI MF.



Second Series

The second series of such workshop covering various topics was organised from December 10 to 12, 2018. The program was inaugurated in the presence of Shri K Sukumaran, Dean NISM and Shri Ajay Mittal, Vice President, SBI MF.



Third Series

The third series of workshop for the Officers of SBI was held from December 17 to 19, 2018. The program was inaugurated in the presence of Dr. M Thenmozhi, Director NISM, Shri K Sukumaran, Dean NISM and Shri Ajay Mittal, Vice President, SBI MF.



The entire series of workshops were well appreciated by the participants and they desired to have such programmes in future as well. Certificate of participation was awarded to the participants at the end of the programme.

Programme on "Overview of Global Securities Market" for IES Officers - Trainees



A Five-day programme on "An overview of Global Securities Market" was conducted by NISM for the Trainee Officers of Indian Economic Service at its Pathalganga Campus during December 10-14, 2018. The programme was inaugurated by Mr. K. Sukumaran, Dean, NISM.

This training programme was intended to provide exposure about Indian Securities Market as well as Global Securities Markets to the IES Trainee - Officers. The Officers were also given on-hand experience and knowledge about functioning of stock & commodities exchanges and derivatives through visits to NSE and MCX. All participants were awarded with the Certificate of Participation by Mr. Sunil Kadam, Registrar, NISM.

Visit NISM Programme - Defence Services Officers College of Defence Studies, Secunderabad



A "Visit to NISM" Programme was organised at NISM Campus on December 10, 2018 for Officers of Defence Services College, Secunderabad. The Programme was inaugurated by Mr.K. Sukumaran Dean, NISM. About 14 senior officials from the army had attended the Programme.

The objective of the programme was to enable the participants to understand the basics of Financial Planning and securities markets trading operations. The programme was well received and highly appreciated by the participants.



One Week Programme On "An Overview of Securities Markets & Equity Derivatives"



NISM had conducted One week programme On "An Overview of Securities Markets & Equity Derivatives" from December 17 to 21, 2018, for the final year students of Allana Institute of Management Studies, Mumbai. The programme was inaugurated by Dr.M.Thenmozhi, Director, NISM.



The programme was aimed to providing a comprehensive overview of the securities market and practical exposure to the recent developments to the students, who intend to make a career in the securities markets. The teaching pedagogy for the program was blended with classroom sessions with a special focus on recent developments in securities markets and a practical hands-on session in NISM exclusive simulated trading lab.

The valedictory Session was delivered by Mr.Sunil Kadam, Registrar, NISM. Certificate of Participation was awarded to the participants at the end of the programme.

ICICI Stock Mind Trading Competition



NISM had organised the trading competition for NISM students with ICICI, between 10th December to 18th December 2018. 38 students did participate in the competition. Mr Aravind (PGDQF) is selected for the final national round.



**Building Careers in
Capital Markets -
Visit NISM Programme**



National Institute of Securities Markets conducted one-day programme on Building Careers in Capital Markets for the students from IES Management College and Research Center, Mumbai at NISM Bhavan, Vashi on November 14, 2018.



The programme was attended by 24 students. Career session was taken by Mr. Nikhil Shinde (Senior Manager, NISM). The programme helps students in broadly understanding the roles of various market intermediaries and guides in establishing a career in the capital markets.



This was followed by a practical hands-on experience through NISM exclusive Simulation Trading Lab relating to trading and investment. The trading session was taken by Dr. Latha Chari, Professor NISM.

Faculty Development Programme on Securities Markets



The National Institute of Securities Markets (NISM) organized a Faculty Development Programme on Securities Markets in association with the Department of Commerce, University of Madras and Aditya Birla Capital Mutual Fund. The programme was hosted by the University of Madras, in its Chepauk Campus (Chennai), on 3rd and 4th December 2018. About 150 faculty members and research scholars from colleges affiliated to the University participated in the programme.

The programme was inaugurated by Shri. S K Mohanty (Whole Time Member, SEBI). Dr. M Thenmozhi (Director, NISM) delivered a special address and Shri. K S Rao (Senior Vice President, Aditya Birla Capital Mutual Fund) delivered a keynote address. The inaugural ceremony was presided over by Dr. R Srinivasan (Registrar, University of Madras).

A workshop on Teaching with Case Study methods



A workshop on "Teaching with Case Study methods" was conducted for the faculty members of NISM on Thursday, 20th December 2018. The workshop was designed to prepare faculties and instructors in the case method of study.

The workshop was conducted by Prof Nikhil Varaiya, from Fowler College of Business, San Deigo State University.

The workshop started with a lecture on Teaching with the case study, followed by a discussion of the Google Incs Acquisition of Ad Mobile. The case addressed several issues: valuation of a fast growth publicity-traded firm, role of growth by acquisition, internet advertising markets, and valuing a privately fast growth start up. The accompanying discussion questions guided the participants understanding of the issues raised in the case.

The workshop concluded with a presentation on tasks of management and finally with a one to one interaction with all the faculty staff members



Prof. Nikhil Varaiya took a session for the PGPSM & PGDM (SM) students.

Interactive Session for Auditors



Effective Corporate Governance arises out of responsible and simultaneous vigilant actions by all the stakeholders including the auditors. The auditors represent the interests of shareholders by acting as a monitoring mechanism on the financial aspects of corporate governance. Investors rely on the financial statements to make economic decisions and therefore, the role of the auditor becomes vital in providing confidence, reducing uncertainty and adding value to investment decisions.

In order to sensitize the auditing community and also to update them with the latest developments, the School for Corporate Governance of NISM conducted a series of five programmes in the month of December 2018. The programmes were very interactive in nature and were organised at different locations across the country.

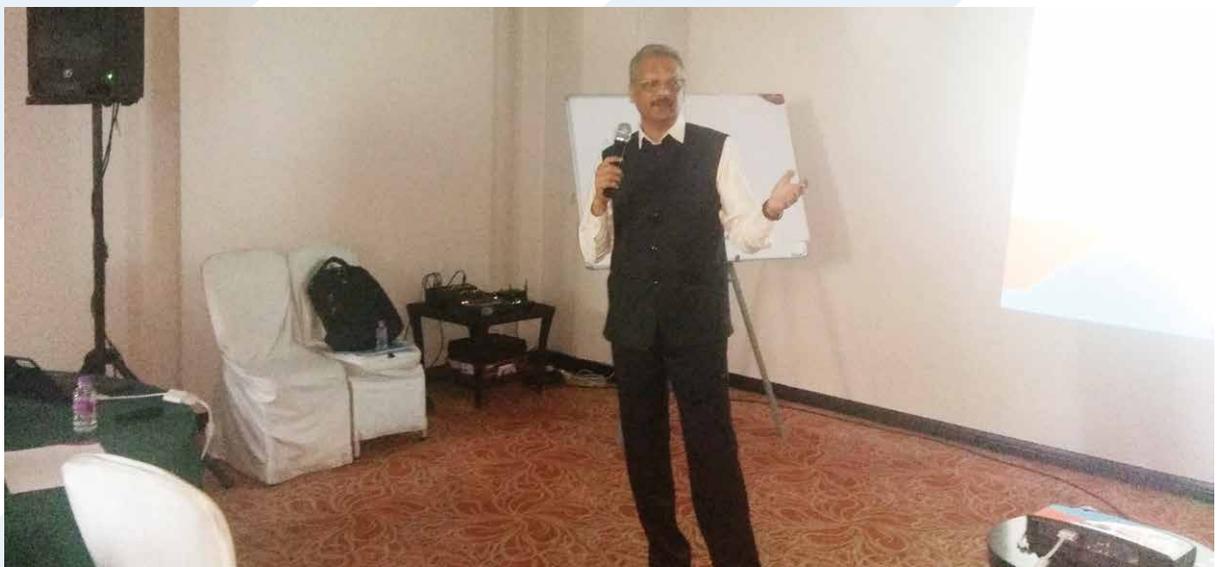
First Series

The first programme was held at Gurugram near Delhi on December 3, 2018. The topics covered include Compliance with Company Law – Expectations from Auditors and Insights on SEBI (Prevention of Insider Trading) Regulations, 2015. Mr. Richard Henry, former Regional Director, MCA and Mr. M. Krishnamoorthy, Incharge-SCG delivered the Sessions and updated the participants with recent developments.

Second Series



The second Session was organised at Mumbai on December 5, 2018. The auditors were sensitized about SEBI (Prevention of Insider Trading) Regulations, 2015 and also on the Issues & Challenges in Enforcement Mechanism at SEBI. Mr. N Murugan, DGM, SEBI and Mr. M. Krishnamoorthy, Incharge-SCG spoke at length about the nuances of the regulatory provisions by giving practical insights.



The auditors were given a regulatory perspective of corporate governance issues in India in the third series of Interactive Session, which was held at Pune on December 7, 2018. Mr.Sunil Kadam, Registrar-NISM and Mr.M.Krishnamoorthy, In-charge-SCG, were the main speakers in the programme and they elucidated the participants with case studies.

Fourth Series



The fifth and final series of the programme was organised at Mumbai on December 17, 2018. The Session was aimed at providing insights on the current macroeconomic scenario and its impact on business enterprises. Mr.Madan Sabnavis, Chief Economist, CARE Ratings Ltd, explained about the current trends, its impact on profitability and governance of companies.

Fifth Series

The fifth and final series of the programme was organised at Mumbai on December 17, 2018. The Session was aimed at providing insights on the current macroeconomic scenario and its impact on business enterprises. Mr.Madan Sabnavis, Chief Economist, CARE Ratings Ltd, explained about the current trends, its impact on profitability and governance of companies.

Squash and Volleyball Competition: Winter Sports



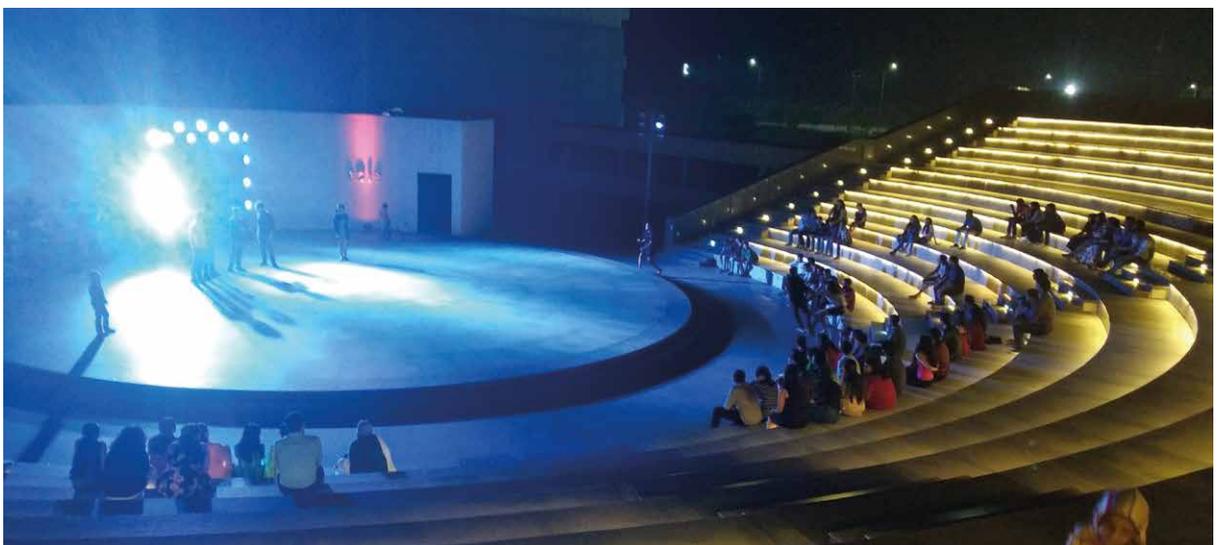
Volleyball and Squash matches had been organised on 6-7th December 2018 among NISM students.



New Year Celebration



New year has been celebrated in NISM campus with zeal and fervour on 31st December 2018.





NISM

NISM Bhavan

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