

## **Annexure I – Syllabus Outline**

### **NISM-Series-XII: Securities Markets Foundation Certification Examination**

#### **Objective of the Examination**

The NISM-Series-XII: Securities Markets Foundation Certification Examination aims to impart basic knowledge about the Indian Securities Markets and different rules and regulations governing the securities markets.

This certification examination will also be useful to all those individuals who are interested in acquiring a basic knowledge of the Indian Securities markets, including:

- Entry level professionals in the securities markets
- Employees of intermediaries functioning in the securities industry
- Professionals in other industries interested in gaining a knowledge of the financial markets
- Students
- Housewives
- Teachers

On successful completion of the examination the candidate should:

- Know the basics of the Indian Securities Markets.
- Know the various processes involved in Primary and Secondary Markets
- Understand the schemes and products in Mutual Funds and Derivatives Markets in India.
- Know the steps in financial planning process.

#### **Examination Specifications**

This is a computer-based examination with multiple choice questions.

The examination consists of 100 questions of 1 mark each adding to 100 marks.

The examination should be completed in 2 hours.

There shall be no negative marking.

The passing score for the examination is 60 marks.

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**Syllabus Outline with Weightages**

| <b>Chapter No.</b> | <b>Chapter Name</b>                              | <b>Weightages %</b> |
|--------------------|--|---------------------|
| 1                  | Understanding Securities Markets and Performance | 5                   |
| 2                  | Securities: Types, Features and Concepts         | 20                  |
| 3                  | Primary Markets                                  | 15                  |
| 4                  | Secondary Markets                                | 20                  |
| 5                  | Mutual Funds                                     | 20                  |
| 6                  | Derivatives Markets                              | 10                  |
| 7                  | Financial Planning and Securities Markets        | 10                  |