

## **Annexure I – Syllabus Outline (w.e.f. December 15, 2020)**

### **NISM-Series-XII: Securities Markets Foundation Certification Examination**

#### **Objective of the Examination**

The examination is for entry level professionals, who wish to make a career in the securities markets. The purpose of this examination is to impart basic knowledge of the Indian securities markets to the participants and related rules and regulations.

On successful completion of the examination the candidate should:

- Know the basics of the Indian Securities Markets.
- Know the various processes involved in Primary and Secondary Markets
- Understand the schemes and products in Mutual Funds and Derivatives Markets in India.

#### **Functional Coverage**

This examination is a voluntary examination.

#### **Examination Specifications**

This is a computer-based examination with multiple choice questions.

- The Examination will consist of 100 questions of 1 mark each adding to 100 marks.
- The examination should be completed in 2 hours.
- There shall be no negative marking.
- The passing score for the examination will be 60 marks.

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**Syllabus Outline and Weightages**

<b>Unit No.</b>	<b>Unit Name</b>	<b>Weightage (%)</b>
Unit 1	Understanding Securities Markets and Performance	10
Unit 2	Securities: Types, Features and Concepts of Asset Allocation and Investing	20
Unit 3	Primary Markets	20
Unit 4	Secondary Markets	20
Unit 5	Mutual Funds	20
Unit 6	Derivative Markets	10