

#### NISM Campus

**National Institute of Securities Markets**  
Plot No. IS 1 & IS 2, Patalganga Industrial Area,  
Mohopada, Rasayani, Taluka Khalapur,  
District Raigad, Maharashtra - 410222  
Phone: 02192-668300/01

#### NISM Bhavan

**National Institute of Securities Markets**  
NISM Bhavan, Plot No. 82, Sector - 17,  
Vashi, Navi Mumbai, Maharashtra - 400703  
Pzphone: 022-66735100/01/02 | Fax: 022-66735110

**Email:** [placement@nism.ac.in](mailto:placement@nism.ac.in) | **Website:** [www.nism.ac.in](http://www.nism.ac.in)

# PGDM

POST GRADUATE DIPLOMA IN  
MANAGEMENT (Securities Markets)

Recognized by AICTE

VIRTUAL INTERNSHIP  
2020-21

## New Age Securities Markets Professionals

**The First Move**





Inauguration of NISM's State-of-the-art  
Campus at Patalganga by  
**Shri Narendra Modi,**  
the Hon'ble Prime Minister of India.  
on 24th December 2016.

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**Mr. S.K. Mohanty**  
Director

## Message from the Director

Greetings from National Institute of Securities Markets !

It is a great pleasure to welcome you to be a part of our placement activities at NISM. Located in the tranquil atmosphere at Patalganga and away from the bustling city life of Mumbai, NISM, which was inaugurated by the Hon'ble Prime Minister of India, Shri Narendra Modi in December 2016, is a premier institute for training and research in the securities markets. NISM was set up by SEBI with a vision to lead, catalyze and deliver educational initiatives with a view to enhancing the quality standards of and increasing the participation in the securities markets and to promote research. Towards achieving this goal, NISM has launched several educational, training and certification programs for teaching and training intermediaries in securities market, budding professionals aspiring to serve the securities market, and also other officials/ executives working in various other segments across the country.

The business environment today is dynamic, competitive, far-reaching, and transcends boundaries, whilst seamlessly connecting economies across the globe. To survive in this highly competitive landscape, NISM has understood the importance of broad based education and has created a conducive environment for the students to blossom into capable professionals to energize and manage the tremendous growth in Indian financial sector. The institute places special emphasis on inculcating corporate values and skills required for complex decision-making, besides developing superior expertise on functional domains specific to securities markets and garnering overall business knowledge.

Our strong and experienced faculty, who have taught and consulted across the industry have developed a curriculum that has been built through regular interaction with the industry. They help/guide and shape our students for making them prepared to thrive as professionals in the competitive environment of the securities market. The students here go through a demanding curriculum, corporate lecture series, exposure to securities markets through simulation exercises, internship at a prospective workplace and also industrial attachments apart from interacting with the eminent guest speakers from the financial world which go on to prepare them to take up the desired role in financial sector. The students at NISM hail from different backgrounds like Engineering, Economics, Commerce etc.

At NISM, we have been developing the student's talent and capabilities as desired by the markets to make them more suitable for industry.

I am certain that our students are ready to assume key roles in various organizations and contribute to the development of securities market and We look forward to a mutually beneficial and long lasting relationship with your esteemed organization.

**Mr. S.K. Mohanty**  
Director

## Board of Governors

### Chairman

**Mr. Ajay Tyagi**  
Chairman, SEBI

### Members

**Mr. G. Mahalingam**  
Whole-Time Member, SEBI

**Mr. S.K. Mohanty**  
Whole-Time Member, SEBI  
& Director, NISM

**Mr. Sanjay Nayar**  
Chief Executive Officer, KKR India Advisors Pvt. Ltd.

**Mr. Sujit Prasad**  
Executive Director, SEBI

**Mr. A Balasubramanian**  
Managing Director and Chief Executive Officer,  
Aditya Birla Sunlife AMC Ltd.

**Ms. Ashu Suyash**  
Managing Director & Chief Executive Officer, CRISIL

### Secretary to the Board

**Mr. Sunil Jayawant Kadam**  
Registrar, NISM & CGM, SEBI

## Academic Council

### Chairperson

**Prof. Samir Barua**  
Former Director, IIM Ahmedabad

### Members

**Mr. S.K. Mohanty**  
Whole-Time Member, SEBI  
& Director, NISM

**Prof. Abhay Pethe**  
Distinguished Fellow at ORF Mumbai and Visiting  
Senior Fellow at the Mumbai School of Economics and  
Public Policy (MSE&PP), University of Mumbai.

**Dr. Shekhar Shah**  
Director General, NCAER

**Mr. Anand Sinha**  
Former Deputy Governor, RBI

**Mr. Sujit Prasad**  
Executive Director, SEBI

**Prof. Ashok Banerjee**  
Professor (Finance & Control), Faculty-in-charge,  
The Financial Research and Trading Laboratory,  
Director, IIM Calcutta Innovation Park, IIMCIP

### Secretary to the Academic Council

**Mr. Sunil Jayawant Kadam**  
Registrar, NISM & CGM, SEBI



# About NISM

The National Institute of Securities Markets (NISM) is a public trust established in 2006 by the Securities and Exchange Board of India (SEBI). From spreading awareness of securities market among common investors to skill development of market professionals, the institute carries out a wide range of capacity building activities at various levels. NISM's six schools of excellence and the National Center for Financial Education work in synergy towards professionalizing securities market.

The School for Securities Education (SSE) creates new age securities market professionals and equips them with expertise to meet the enhanced expectations through its uniquely positioned academic programmes.

## Vision

To lead, catalyze and deliver educational initiatives to professionalize the securities markets.

## Mission

To engage in capacity building among stakeholders in the securities markets through financial literacy, professional education, certification, enhancing governance standards and fostering policy research.







NISM is a world away from outside but still connected to everything. Situated about 50kms outside Mumbai, the college is off the Mumbai-Pune Highway amidst the lush green ghats. Just like Mumbai, a city that never sleeps, our college never sleeps.

The students are engaged with various activities throughout the day. Apart from the 10-5 schedule and an abundance of assignments, the students are still enthusiastic to spend their time at the recreation hall. The hall has various facilities- gym, table tennis, carrom, air hockey, and a swimming pool. The campus has a beautiful auditorium wherein various events are hosted. More often than not, there is musical night for the students and guest officers, by the end of which everyone's on their feet swaying to the tunes. The amphitheater is a lively hub where students enjoy their evenings. The size and the beauty of the campus allows the students to stroll at night and the small canteen allows them to have tea while they do it. The campus lives by, "Nights that turn into mornings makes friends turn into family". This lays an important foundation for the future networking of finance professionals coming out of the National Institute of Securities Market.

## CULTURAL PROGRAM



## RECREATIONAL ACTIVITIES







## Post Graduate Diploma in Management (Securities Markets)

PGDM is a two-year full time Post-Graduate Diploma course for students coming from various disciplines interested in pursuing a career in securities markets. This programme has been freshly launched in 2017 and is approved by All India Council for Technical Education (AICTE).

PGDM students are equipped to be complete securities market professionals. They are positioned to take up a wide range of responsibilities such as Analysts, Investment Managers, Valuers of Asset Classes, Treasury & Risk Managers, Operational Managers, Compliance Officers, Financial Planners & Wealth Managers etc. Organizations that will find our students suitable include Analytics firms, Rating Agencies, Stock-Brokers, Fund Managers, Investment Banks, Registered Valuers, Banks, Financial Advisors etc.





## Why hire PGDM students?

- A mixed batch, which is a blend of fresh and experienced Science, Arts, and Commerce graduates, eager to take up variety of profiles across the organisations hierarchy.
- Excellent faculty drawn from the cream of academia, industry, and SEBI.
- In-depth unique exposure to SMART lab (Simulated Trading in equities, commodities and F&O), Bloomberg and Financial databases like CMIE prowess
- Equipped with comprehensive theoretical and practical knowledge of all asset classes and across market segments.
- Insights from Finance, Accounting, Economics, Computing, Quantitative Methods and Law





# Program Architecture

## Academic Courses: 2019-2020

Semester – I – Concepts (Jul-Nov 2019)			Semester – II – Applications (Dec 2019 - Apr 2020)		
Course Code	Name of the Course	Course Credits	Course Code	Name of the Course	Course Credits
101	Macro Economics for Securities Markets	3.0	201	Securities Analysis and Equity Valuation	3.0
102-A	Quantitative Application of Mathematics	1.5	202	Banking & Financial Services	3.0
102-B	Quantitative Application of Statistics	1.5	203	Research Methods and Data Analytics	3.0
103	Financial Accounting & Reporting	3.0	204	Securities Market Regulations	3.0
104	Financial Institutions & Markets	3.0	205	Taxation in Securities Markets	3.0
105	Corporate Finance	3.0	206-A	Mutual Funds	1.5
106	Corporate and Allied Laws	3.0	206-B	Wealth Management	1.5
107-A	Organizational Behavior	1.5	207-A	Financial Modelling - I	1.5
107-B	Marketing for Financial Services	1.5	207-B	Smart Lab Trading - I	1.5
Total Credits		21	Total Credits		21

## Academic Courses: 2020-2021

Semester – III – Advanced Applications (July - Nov 2020)			Semester – IV – Industry Integration (Dec 2020 - Mar 2021)		
Course Code	Name of the Course	Course Credits	Course Code	Name of the Course	Course Credits
301	Fixed Income Securities	3.0	401	Mergers and Acquisitions and Corporate Restructuring	3.0
302	Portfolio Management	3.0	402	Forex Markets and International Finance	3.0
303	Derivatives & Risk Management	3.0	403	Business Ethics and Corporate Governance	3.0
304	Commodities Markets	3.0	404	Project Dissertation (Self-study)	6.0
305- A	Investment Banking	1.5	405	Professional Certifications (Off Classroom)	6.0
305- B	Private Equity & Venture Capital	1.5	Total Credits		18
306	Treasury Management	3	Total Program Credits		81
307-A	Financial Modelling - II	1.5			
307-B	Smart Lab Trading - II	1.5			
Total Credits		21			

## Mandatory Certifications

Sr. No.	Certifications	Timeline
1	NISM Series VII: Securities Operations & Risk Management Certification Examination	During Sem-I
2	NISM Series V A: Mutual Fund Distributors Certification Examination	During Sem-II
3	NISM-Series-X-A: Investment Adviser (Level 1) Certification Examination	
4	NISM Series-XV: Research Analyst Certification Examination	
5	NISM-Series-VIII: Equity Derivatives Certification Examination	During Sem-III
6	NISM Series-IX: Merchant Banking Certification Examination	



# Learning Environment

The PGDM programme is strengthened by sound delivery by expert faculty, together with multiple pedagogy. The faculty-team is comprised of the following:

Dr. Rachana Baid	Mcom, PhD (Osmania Univ.)	Corporate Finance, Portfolio Management
Dr. Latha Chari	Mcom, FCMA, PhD (BITS Pilani)	Financial Reporting, Equity Valuation, SMART Lab
Dr. Abhay Nagale	Mcom, ACA, PhD (IIT Bombay)	Fixed Income Securities, Financial Modelling
Dr. Jatin Trivedi	MBA, M.Com, Ph.D (Finance)	Financial Statements Analysis, Securities Analysis, Equity Valuation
Dr. Rajesh Kumar	LLM, PhD (Delhi Univ.)	Corporate Laws, Securities Markets Regulation
Mr. Vishal Shukla	MBA, MPP (Tokyo)	Economics, Global Financial Markets
Mr. M. Krishnamoorthy	MA (Economics), MFSM	Economics, Corporate Governance
Mr. Ritesh Nandwani	ACA, CFA, MBA (IIM Lucknow), MSc (Singapore Mgt. Univ.)	Fixed Income Securities, Derivatives & Risk Management
Mr. S. Venugopal	ACA, PGDSL	Financial Reporting, Taxation, Securities Markets Regulation
Dr. Narsimhulu Siddula	Mcom, MBA (Finance), PhD (Osmania Univ.)	Financial Reporting, Securities Analysis, Equity Valuation
Dr. Pradiptarathi Panda	MFC, M.Phil, PhD (Mumbai Univ.)	Econometrics, Research Methods, SMART Lab
Mr. Meraj Inamdar	Mcom, Pursuing PhD (Mumbai Univ.)	Statistics, Corporate Governance, SMART Lab
Dr. Nitin Tike	MBA, PhD (Pune Univ.)	Financial Markets
Mr. Vishal Padole	Master in Management Studies (Finance)	SMART Lab

## Visiting Faculty

Mr. Abhijeet Vaidya	Executive Director, Kotak Investment Banking	Investment Banking
Dr. V. Shunmugam	Former Head, Research and Index Administration, MCX	Commodities Market
Mr. DG. Prasad	Former Head, Research, BSE	Commodities Market
Mr. R Kannan	Head, Corporate Performance & Monitoring, Hinduja Group	Organisation Behaviour & Management
Dr. Jinesh Panchali	Founder Promoter, Arham Advisors	Mergers & Acquisition
Mr. Sanjeeva Mathur	Founder Promoter, Serene Waters	Mutual Funds
Mr. KV Ramakrishnan	Former General Manager, STCI Finance	Treasury Management
Mr. Deepesh Bhargava	Vice President, Validus Wealth	Wealth Management
Mr. Mandeep Singh	Vice President, SBI Capital Markets	Investment Banking
Mr. Archit Lohia	Founder & CEO, CareerTopper.com	Alternate Investment Funds

# Learning-cum-Practice Programme

As a part of our initiative to develop the talent needed for securities markets, we are taking various endeavours to sensitize students to obtain a hands-on experience on securities market practices, apart from classroom sessions.

NISM is partnering with several institutions, which include market leaders, market infrastructure institutions & regulators, to achieve the same.

This Programme has ensured that our students are uniquely placed to receive wide practical exposure to market practices, which in-turn supports their classroom learning; apart from building and fostering a long- lasting relationship with the market/industry.





# Industry Interaction

Industry Interaction is conducted at NISM's Patalganga Campus from time-to-time, at regular intervals. This provides a platform for the students and the faculty to interact with various eminent personalities from the industry; thereby providing a practical insight to the workings of the securities markets. The students are, as a result, benefitted from the knowledge of the field and are made aware of the roles they can play in Securities Markets. Some of the well-known speakers at the Industry Interaction session have been –

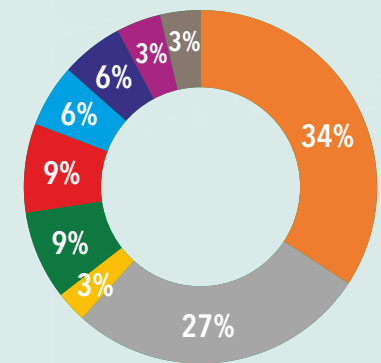
## Session conducted

- **Mr. G.Mahalingam**, Whole-Time Member, SEBI
- **Mr. Nilesh Shah**, Kotak Mahindra Mutual Fund
- **Mr. G V Nageswara Rao**, MD & CEO, NSDL
- **Mr. J N Gupta**, Founder & Managing Director, Stakeholders Empowerment Services (Former Executive Director, Securities & Exchange Board of India)
- **Dr. Venkatachalam Shunmugam**, Head of Research, Multi Commodity Exchange Limited
- **Mr. Pranjal Srivastava**, Senior Vice President & Head Equity Capital Markets, ICICI Securities Limited
- **Mr. Rajesh Saraf**, Senior General Manager, Bombay Stock Exchange Limited
- **Mr. Partha Pratim Basu**, Chief Operating Officer (Wealth Management), Credit Suisse
- **Mr. Rana Rajan**, Chief Compliance Officer, Credit Suisse
- **Ms. Shobheta Manglik**, Associate Director & Head of Fixed Income, PNB Metlife
- **Mr. A. Balasubramaniam**, MD & CEO, Aditya Birla Sunlife AMC Ltd.
- **Mr. Vikram Kothari**, MD, National Securities Clearing Corporation
- **Mr. Jayant Nalawade**, Ex Chief Operating Officer National Commodity Clearing Limited (NCCL)
- **Mr. H R Khan**, Non-Executive Part-time Chairman, Bandhan Bank Limited (Former Deputy Governor, RBI)
- **Mr. Saurabh Mukherjea**, Founder, Marcellus Investment Managers (Former CEO, Ambit Capital)
- **Mr. P. K. Kuriachen**, Former CGM, SEBI and Deputy CEO, FSC, Mauritius
- **Mr. Vitthal More** Sr. Vice President, New Products National Stock Exchange of India Limited (NSE)
- **Dr. Prabhakar Patil**, Chief General Manager, Securities & Exchange Board of India
- **Mr. Sanjay Chandel**, Promoter, Azure Capital Advisors Private Limited

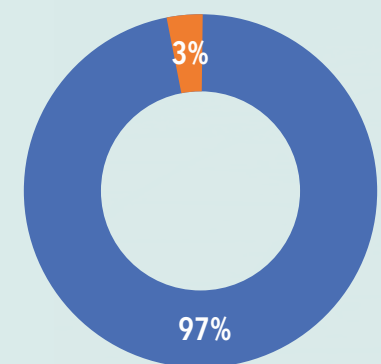




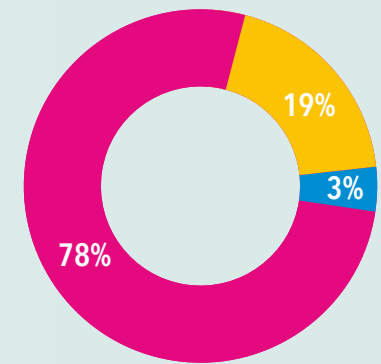
# Batch at a Glance (2019-21)



Education Stream



Educational Qualification



Work Experience





# Student Profile



**Abhilash Rathod, 24**  
B. Com (MS University of Baroda), 2018

**Abhishek Verma, 23**  
B. Arch (Dr. APJ Abdul Kalam Technical University, UPTU), 2018



**Aditya Krishnan, 23**  
B. Com (DG Vaishnav College, Chennai), 2017  
**Prior Work Experience:** Royal Bank of Scotland (RBS) **Area:** Mortgage Operations  
**Duration:** 16 months

**Akhilesh Krishnan, 22**  
B. Tech (Asiet, Kerala Technological University), 2019







**Amit Singh Mehra, 23**

B.Sc Mathematics (Amity University, Noida), 2017



**Jyotsna Gupta, 21**

BBA (Guru Gobind Singh Indraprastha University), 2019

**Bhavani Ganesh, 23**

B. Com (Mount Carmel College, Autonomous, Bangalore), 2018

**Prior Internship:** HSBC **Duration:** 1 month



**Malaya Kumar Mohapatra, 23**

B. Com (PN College, Utkal University, Bhubaneswar University), 2019

**Prior Work Experience:** Niran & Co. **Area:** Audit Assistant  
**Duration:** 12 months



**Devansh Sharma, 22**

B. Tech (Navrachana University), 2019

**Other Educational:** I. Perusing Financial Modelling & Valuation Analyst (FMVA),  
II. Data Analyst (Through IBM)

**Prior Internship:** ONGC **Area:** Civil Engineering Department  
**Duration:** 2 months



**Prahlad Arora, 24**

B. Tech (Ramrao Adik Institute of Technology, Mumbai University), 2018

**Prior Internship:** I. Indian Railways **Area:** Electronics Department **Duration:** 1 month  
II. ONGC **Area:** Electronics Department **Duration:** 1 month

**Harshal Sawlikar, 23**

B. Engg (Sant Gadge Baba Amravati University), 2019



**Rachaita Mukherjee, 21**

BFM (S.I.E.S College, Nerul, Mumbai University), 2019







**Rajesh Kumar Gouda, 22**

B.Sc Mathematics (Vikram Deb Autonomous College, Jeypore, Odisha - Berhampur University), 2018



**Saloni Sanghvi, 22**

BFM (SIES College of Commerce and Economics, Mumbai University), 2018

**Ramdev Singh, 29**

MCA (Jaipur National University), 2015

**Prior Work Experience:** Indian Army **Area:** Ammunition & Explosives **Duration:** 8 years, 5 months

**Prior Internship:** Transparency International India **Area:** Research & Survey **Duration:** 6 months



**Shardul Mahajan, 21**

BBA (Savitribai Phule Pune University), 2019



**Rujuta Tamhankar, 21**

B.A. Economics (Ramnarain Ruia Autonomous College), 2019

**Prior Internship:** Graygust Education Finance Ltd. **Area:** Operations **Duration:** 1 month



**Shifra D'souza, 21**

BFM (Nagindas Khandwala College), 2019

**Sachin Kumar, 23**

B. Com (St Aloysius College Autonomous - Mangaluru), 2018



**Shivam Ashish, 23**

B. Tech (BIT Sindri, Dhanbad), 2018

**Prior Internship:** FPS Jobs Private Ltd. **Area:** Assistant Manager (Branch) **Duration:** 6 month







**Shreya Iyer, 21**

B. Com (SSS Shasun Jain College for Women), 2019



**Sushant Dakwe, 24**

B. Engg. (PVPPCOE, Mumbai University), 2017

**Prior Work Experience:** Accord EXIM Consultancy **Area:** EXIM Executive

**Duration:** 11 months

**Shubham Gurtu, 23**

B. Com (Bharati Vidyapeeth - Deemed to be University, Pune), 2018

**Prior Internship Experience:** Reliance Industries Ltd.

**Area:** Petrochemical Department **Duration:** 1 months



**Tangudu Neelakantha, 23**

B. Tech (Biju Patnaik University of Technology), 2018

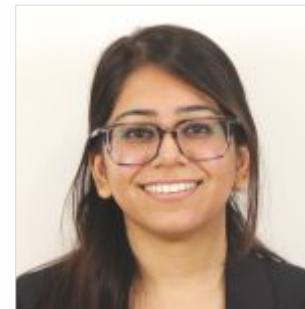
**Prior Work Experience:** Wirsay Technologies Pvt. Ltd.

**Area:** Business Development Executive **Duration:** 8 months



**Siddharth Bhalawat, 24**

B. Engg. (MIST, RGPV University), 2017



**Tanvi Seth, 22**

BBA (Alliance University, Bangalore), 2018

**Prior Internship Experience:** 1. Kotak Mahindra **Area:** Research **Duration:** 2 months

2. Eldrok Pvt. Ltd. **Area:** Research **Duration:** 2.5 months

**Srinidhi. A, 22**

B. Com (P.S.G College of Arts and Science, Coimbatore), 2018



**Turangam Borah, 23**

B. Com (H), Banking & Finance, (Tezpur University, Assam), 2018







**Vansh Agarwal, 22**  
B. Com (Savitribai Phule Pune University), 2019



**S. Ullash Kumar, 23**  
B. Com (Sri Sri University, Cuttack), 2018  
**Prior Work Experience:** Silk City Securities, Berhampur  
**Area:** Consumer Relationship, Operation & Online Trading  
**Duration:** 12 months



**Akash Sherry, 21**  
B.Voc Banking & Finance (University of Delhi), 2019



**Ritika Swaroop, 21**  
B.A. Economics (Mumbai University), 2019

Our Past Recruiters

Broking | Banks | Investment Advisory Firms | Stock Exchanges | Depositories  
Mutual Funds | Information Technology



J.P.Morgan

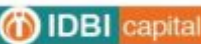
Morgan Stanley



Mahindra AMC



Canara Bank



& many more...



# Testimonials of Recruiters

Ms. Ankita Bansal has been employed with us since April 20, 2015 as Management Trainee. She has been able to grasp & handle the job responsibilities well and is a sincere and diligent worker. She was able to ascend the learning curve quickly on the back of the academic knowledge acquired during the course. The knowledge of fixed income markets and derivatives imparted by the course helped her to meet the job requirements in a very short span of time. She is time conscientious and meets deadlines for completion of tasks with quality and accuracy.

I have no hesitation in stating that we are pleased that we were able to recruit her as our employee from your Institute.



**Manish Jadhvani**  
Senior Vice President  
STCI Primary Dealer Ltd

Mr. Manish Kumar Singh has been working with us for close to 2 years. His learning posture has ensured that he constantly acquired new knowledge and skills and demonstrated a constant improvement in his performance. He has received excellent feedback from different stakeholders for his contribution and level of commitment.



We hired Mr. Ganesh K Chalamalasetti based upon his satisfactory performance and a great attitude during the interview. He was given a complete responsibility of a major complex project. It has been a delight to see that he demonstrated a high level of ownership and



**Sandeep Gore**  
Associate Vice President at  
ISS | Institutional Shareholder Services

The Bank has recruited candidates from NISM over the years to perform roles at Treasury and its Operations in the Bank. The financial market knowledge acquired by them through NISM have been found suitable for the roles.



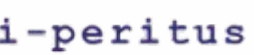
**Sreekanth I V**  
Deputy Vice President  
The Federal Bank Ltd

Very impressed with the calibre of candidates; we are quite keen to continue the relationship



**Nosheen Khan**  
VP Marketing  
Markit, London

Ms. Pallavi Jain of 1st Batch of PGPSM joined us. She is fast learner, intelligent and picking up her job well.



**Rajesh Pawar**  
Director, I-peritus

Sharad takes an active interest in all aspects of financial journalism and is very pro-active in the newsroom.



**Darshan Mehta**  
Head Research

# Internship Process

## Internship Schedule\*

Internship Period	8 - 10 weeks
Tentative Joining	1 <sup>st</sup> September, 2020

\* Above Internship schedule is indicative only and it can be tailored to suit the requirement of the companies.

Internship activities and processes are conducted in collaboration between Student Committee and Placement/Internship Office.

# Contact for Internship

Placement/Internship Office	
<b>Mr. Sahil Malik</b> General Manager - SEBI & NISM <b>Phone :</b> +91-21 92668363 <b>Mobile:</b> +91-9152003351	<b>Mr. Ritesh Nandwani</b> Program Director, PGDM (SM) & AGM-SEBI <b>Phone :</b> +91-21 92668411 <b>Mobile:</b> +91-9833477695
Student Committee	
<b>Mr. Shivam Ashish</b> : +91- 7782033616 <b>Mr. Devansh Sharma</b> : +91- 7069303255 <b>Mr. Tangudu Neelakantha</b> : +91- 9090212423 <b>Mr. Ramdev Singh</b> : +91- 6378629775	<b>Ms. Tanvi Seth</b> : +91- 8147032132 <b>Ms. Shifra Dsouza</b> : +91- 7506054385 <b>Ms. Shreya Iyer</b> : +91- 9920213602
<b>Email: placement@nism.ac.in</b>	



