

PROFESSIONAL EXCELLENCE AND INTEGRITY AT WORKPLACE for **FUND MANAGERS**

July 24, 2019 | MCA, BKC, Mumbai

About NISM

National Institute of Securities Markets (NISM) is a premier capacity building institution, established by the Securities and Exchange Board of India (SEBI), the regulator for securities market in India. It is located in Vashi (Navi Mumbai) and Patalganga (Raigad), India. The institute has six schools of eminence viz:

- School for Corporate Governance
- School for Investor Education and Financial Literacy
- School for Certification of Intermediaries
- School for Securities Information and Research
- School for Regulatory Studies and Supervision
- School for Securities Education

About School for Corporate Governance (SCG)

Investor confidence in the governance of public interest companies is crucial for development of Capital Markets, which provide finance to economic growth. SCG conducts various programs aimed at improving Corporate Boards' understanding of governance issues and providing them with the tools to implement quality processes such as:

- Ethics and Corporate Governance
- Integrating Environmental, Social and Governance (ESG) Perspective in Investment Decisions
- Corporate Governance and Board Leadership for First Time Directors
- Directors' Colloquium
- Familiarisation Programs for Directors
- Performance Evaluation of Board of Directors

About CISI

The Chartered Institute for Securities & Investment is the leading professional body for securities, investment, wealth and financial planning professionals. Formed in 1992 by London Stock Exchange practitioners, it has a global community of circa 45,000 members in 116 countries and last year more than 40,000 CISI exams were sat in 80 countries, 15,000 taken outside the UK.

The CISI defines professionalism as the effective combination of three elements - Knowledge, Skills and Behaviour.

CISI believes that a professional is someone who has a combination of knowledge and skills, and acts with integrity. As a professional body, the objective of CISI is to provide and encourage the attributes necessary to be a professional.

Programme Overview

The foundation of Mutual Fund industry is the trust and confidence that investors repose in the professional judgement and integrity of fund managers which is reflected in performance of schemes managed by them. Millions of household investors simply hand over their hard earned savings into the hands of mutual fund managers in the hope that the fund managers will return their savings with good returns that can be used for their life aspirations like buying own house, buying a car of their choice, children's education or marriage, etc. Fostering an environment of trust, integrity and professionalism leads to greater confidence, ultimately strengthening a firm's reputation in the market and growth of Mutual fund industry.

NISM has jointly with Chartered Institute for Securities Markets & Investments, UK (CISI) organized an hour and half workshop exclusively for fund managers of Indian Mutual Funds which includes individual voting machines, real-time results and lively debates, has been presented to audiences at firms around the world. The workshop provides attendees with the unique opportunity to vote on a range of ethical challenges, drawn from real-life situations.

Integrity Matters test

Over 5,000 industry professionals have now taken the Integrity Matters test. This unique e-learning tool developed by the CISI provides firms with a way to highlight the importance of integrity. The test requires candidates to work their way through a series of six scenarios. The scenarios develop according to the answers that the user selects, building up a tailored story.

Benefits

- Tailored to suit any firm, business type or location, UK or internationally
- Anonymous voting encourages participants to vote how they would in real life rather than how they know they should.
- Earn one hour of CPD through the CISI scheme. Hours will be automatically recorded in CISI members' logs where appropriate

Faculty



Kevin Moore

Chartered MCSI

Director,
Global Business Development,
Chartered Institute for
Securities & Investment

Kevin Moore is the Global Business Development Director at the CISI responsible for business development and strategy development worldwide. A key responsibility is working with the growing CISI office network which currently includes Dubai, Mumbai, Singapore, Manila, Dublin and Barcelona. Kevin works closely with major CISI clients, members and stakeholders including regulators, training partners and other professional organisations. Kevin enjoys delivering the Institute's keynote Integrity at Work seminar and is a regular speaker at international conferences on subjects including integrity, capacity building, the changing world of wealth management and continuing professional development.

A career banker, Kevin joined the Institute in 2007 after 21 years with the Lloyds Banking Group. This included a wide variety of senior management roles in branch banking, corporate and business banking and finally private banking. His wealth management roles included heading up the Lloyds Ultra High Net Worth business which included a full banking offer, as well as being responsible for the private banking network in London and the South East. Kevin is a Chartered Fellow of the CISI, holding its Investment Advice Diploma, is a qualified banker with what is now the IFS and a graduate in French from the University of Liverpool.

Programme Director

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Date : July 24, 2019
Venue : Boundary, Mumbai Cricket Association Recreation Centre,
Bandra-Kurla Complex, Mumbai

Tel: 02192-668378

Timing: 06.00 pm to 08:00 pm (followed by Dinner)

Discounts available on group nomination

3 & above nominations: 10%

For group nominations, payments will be accepted only by DD / Cheque.

Programme Fee:

Rs. 4,000/- Plus applicable taxes.

Pay Online <http://slims.nism.ac.in/eduwiz/forms/register.html#>

For Offline Demand Draft/Cheque in favour of '*National Institute of Securities Markets*' and courier the payment instrument to NISM Navi Mumbai Campus.

Nominations may be sent by e-mail to: bhavesh.khane@nism.ac.in | scg@nism.ac.in

NISM New Campus, Patalganga



NISM's sprawling new campus with a serene environment and state-of-the-art infrastructure at Patalganga, near Mumbai, will reinforce NISM's goal to expand its horizons and bring academic excellence to students and market participants from India and abroad.

NISM has embarked on a fast track expansion of its activities both domestically and internationally which will position NISM as a leading global player in knowledge dissemination and skill enhancement in the financial markets domain.

NISM aspires to be the leading financial markets education, research and training provider in the Middle East / South Asia / Asia-Pacific regions.

www.nism.ac.in