

Two-Day workshop on

ROLE OF COMPLIANCE OFFICERS OF LISTED COMPANIES



January 17-18, 2020
New Delhi

About NISM

National Institute of Securities Markets (NISM) is a premier capacity building institution, established by the Securities and Exchange Board of India (SEBI), the regulator for securities market in India. The institute carries out a wide range of capacity building activities at various levels aimed at enhancing the quality standards and increasing the participation in the securities markets.

The institute has six schools of eminence viz.

- School for Corporate Governance (SCG)
- School for Securities Education (SSE)
- School for Investor Education and Financial Literacy (SIEFL)
- School for Certification of Intermediaries (SCI)
- School for Regulatory Studies and Supervision (SRSS)
- School for Securities Information and Research (SSIR)

About School for Corporate Governance (SCG)

Investor confidence in the governance of public interest companies is crucial for development of Capital Markets, which provide finance to economic growth. The School for Corporate Governance (SCG) conducts various programs aimed at improving Corporate Boards' understanding of governance issues and providing them with the tools to implement quality processes such as:

- Ethics and Corporate Governance
- Enhancing Integrity at Work and Speaking up programs for employees
- Role of Compliance Officers in Securities Market
- Integrating Environmental, Social and Governance (ESG) Perspective in Investment Decisions
- OECD Principles of Corporate Governance
- Enhancing Quality of Business Responsibility Reporting
- Corporate Governance and Board Leadership for Women Directors
- Directors' Colloquium, Familiarisation Programs for Directors
- Performance Evaluation of Board of Directors
- Research and Conference in the area of Corporate Governance

About the program

SEBI has recently made amendments to Regulations governing Issue & Listing of Securities (ICDR), Continuous Disclosure requirements (LODR) and Prohibition of Insider Trading (PIT). NISM is organizing a Two-days Workshop to provide a thorough and practical understanding of these regulatory changes.

The Faculty for the programme would be drawn from Regulators viz. SEBI, Stock Exchanges and Senior Consulting Practitioners.

The workshop will be useful for Officials working in Compliance/Secretarial Department of listed companies, Practising Company Secretaries and Merchant Bankers.

Objective

To get an overview of recent changes in regulations and applicability of :

- Shareholders and Board Specific Functions under Companies Act, 2013
- Provisions relating to raising of capital under Companies Act, 2013
- SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
- SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2015
- SEBI (Prohibition of Insider Trading) Regulations, 2015

Speakers

Trainers would be drawn from SEBI, Stock Exchanges and Senior Consulting Practitioners.

Who should attend?

- Compliance Officers/Company Secretaries
- Officials working in Compliance/Secretarial Department of listed companies
- Practising Company Secretaries
- Merchant Bankers

Venue

Securities and Exchange Board of India
5th Floor, Bank of Baroda Building,
16, Sansad Marg, New Delhi, Delhi 110001

Timing

9:30 am to 5:30 pm

Programme Fee:

The Program fee is Rs. 5,000/- plus GST @ 18% per participant for the whole two days programme (Net amount payable: Rs. 5,900/- per participant)

Mode of the Payment:

Demand Draft/Cheque favoring 'National Institute of Securities Markets'

Or

To Register & Pay Online, Visit NISM Website: www.nism.ac.in
Home>Upcoming Events> Role of Compliance Officers of Listed Companies

Or

To Register & Pay Online, Click on below link:

<http://slims.nism.ac.in/eduwiz/forms/register.html>



Programme Director

Mr. M Krishnamoorthy
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Discounts available on registration fees only, GST shall be charged accordingly.

Early Bird

Participants who register for the workshop on or before January 13, 2020, will be offered a discount of 10% on the programme fee.

Group Discount

Companies nominating three or more officials will be offered a discount of 10% on the program fee.

Nominations may be sent by Email to:
krishna.m@nism.ac.in / bhavesh.khane@nism.ac.in

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