

Frequently Asked Questions

Q1. Who will find this program useful?

PGP (PM/IA/RA) is ideal for Individuals with a passion for securities markets and keenness to acquire in-depth understanding of securities markets with a special focus on one of the specialization areas viz., Portfolio Management, Investment Advisory, or Research Analysis.

The relevant specializations of this program are recognized as eligible professional qualification for Portfolio Managers, Investment Advisers and Research Analysts, under SEBI (Portfolio Managers) Regulations, 2020, SEBI (Investment Advisers) Regulations, 2013 and SEBI (Research Analysts) Regulations, 2014 respectively

Being a week-end capacity-building program, it is suitable for working professionals.

This program is also useful for Individuals with an entrepreneurial bent of mind, who would like to start their own Portfolio Management, or Investment Advisory, or Research Analysis practice. These individuals with a Graduate degree and desirous to offer their own Portfolio Management, or Investment Advisory, or Research Analysis services may find this program suitable for their knowledge requirements and skill enhancement.

Employees of PMS, Investment Advisory and Research Analyst firms who would like to enhance their skills in their respective functional areas will find this program relevant.

Employees of Mutual Funds (AMCs and Distributors), Merchant Bankers, Stock Broking firms, listed companies (employees who look after the treasury operations), Banks (employees who provide wealth and investment management services to the clients), etc. will also find this program very useful.

Individuals wanting to learn to manage their family investments will also benefit from this program.

Q2. What is the structure of the Program?

PGP (PM/IA/RA) is a 15-month weekend Program with classes scheduled on Saturdays and Sundays. This makes it suitable for working professionals.

Q3. What will I learn in this Program?

The pedagogy of this program will be practice oriented with an objective of bringing real-life perspectives alongside giving a robust conceptual foundation to the students.

The curriculum is designed in consultation with the Industry to ensure that the curriculum is in-line with the current Industry practices and requirements.

The program covers the concepts, tools and techniques pertaining to both the foundational aspects of the securities markets as well as in their chosen specialization area: Portfolio management, Investment advisory, or Research Analysis.

Lectures and hands-on workshop sessions will be conducted by eminent faculty and industry leaders and experts. Students will gain the research-based inputs and industry insights from a team of academicians, market experts and policy makers.

Q4. Where will this Program lead to?

PGP (PM/IA/RA) program offers three specializations to the students viz., Portfolio Management, Investment Advisory and Research Analysis. The program could lead the successful participants to the following career pathways:

Portfolio Management: Employment opportunities in Portfolio Management Services firms, PMS distributors, Asset Management Companies, Family Offices, etc. and also the scope to start own Portfolio Management Services for the aspiring entrepreneurs.

Investment Advisory: Employment opportunities in Investment Advisory firms, Retirement and Estate Planners, Banks, Family Offices, etc. and also the scope to start own Investment Advisory Services for the aspiring entrepreneurs.

Research Analysis: Employment opportunities as sell-side analysts (in Equity Research Firms, Stock Broking Firms, etc.), as buy-side analysts (in Asset Management Firms, Portfolio Management Services, Pension Fund Management Firms, Insurance Firms, Family Offices, etc.) and also the scope to start own Equity Research Services for the aspiring entrepreneurs.

Q5. What are the eligibility criteria for this Program?

Graduates from any discipline from a recognized University under 10+2+3 stream are eligible to apply for this program.

Q6. I am a working professional. Will I be able to pursue this Program?

In the academic year 2021-22, the program will be offered on weekends (for three weekends every month) in hybrid model i.e., the classes will be held in physical class room located in NISM's Bandra Kurla Complex (BKC) facility in Mumbai and will be delivered online simultaneously. *

Indicative class timings would be as follows:

Day	Indicative Timings	Teaching Hours
Saturday	6:00 pm to 9:15 pm	3 hours
Sunday	10:00 am to 5:00 pm	6 hours

* Due to the prevailing Covid-19 pandemic situation, initially the classes will be conducted only in the online mode. The physical classes will be available after the Covid-19 situation improves.

Q7. I am in the final year of Graduation and waiting for my results. Can I apply?

As the program commences on 2nd May 2021, candidates joining this program are expected to complete their Graduation requirements and submit their academic credentials to NISM on or

before 2nd May 2021. Hence, it is advised that only those students who can produce their academic certificates (Graduation final mark list and degree certificate) by the aforementioned date should apply for this program.

Q8. Is there any upper age limit for this Program?

No, there is no upper age limit to pursue PGP (PM/IA/RA)

Q9. What is the fee for this Program?

The consolidated program fee for PGP (PM/IA/RA) is Rs. 2,62,000/-+ G.S.T. For the detailed break-up of fee, please go through the prospectus. Further, for a group of two or more students joining from the same organization, group discounts are available as mentioned below:

10% discount on Tuition Fee for groups of 2 participants from the same organization

15% discount on Tuition Fee for groups of 3 participants or more from the same organization.

Group discounts will be adjusted in the second instalment fee payment.

Q10. How can I apply for the Program?

The admissions for 2021-22 are now open. Candidates shall first register themselves online on slims.nism.ac.in. On registration, a User Id and a Password will be mailed to the registered email id. Candidates can use these credentials on the same link to fill up the application form. The application is considered complete only after the payment of the application fee of Rs. 500/-. The entire application process, including payment of application fee, is online.

Q11. What is the application fee? Where can I pay it?

The application fee is Rs. 500/-. The fee can be paid in slims.nism.ac.in, upon completion of filling of the application form, using Debit Card / Credit Card / Net Banking. The entire application process, including payment of application fee, is online.

Q12. What is the last date to apply?

The last date to apply is 10th April 2021. The application form and the payment of the application fee – both have to be completed before this date.

Q13. What is the selection process for admission to this Program?

The selection is a two-stage process: Applicants will be shortlisted for a personal interview based on their profile (application form). The admissions will be offered based on the relative performance in the personal interview (online).

Q14. When and where will be personal interviews be held?

Online interviews will be held starting from 1st April 2021. The dates of the personal interviews will be communicated to the shortlisted applicants by email. The interviews will be held online (via Webex, GoToWebinar or on similar platforms)

Q15. When will the Program commence?

The Program will commence on 2nd May 2021.

Q16. Whom should I contact for my specific queries?

You may reach our Admission Counsellors on +91 82680 02412 or write to us on pgpipr@nism.ac.in.