



CFA Institute

NiSM राष्ट्रीय प्रतिभूति बाजार संस्थान
National Institute of Securities Markets
(An Educational Initiative of SEBI)

Two - Day

STEWARDSHIP CONCLAVE

November 25 -26, 2021



Background

Securities and Exchange Board of India (SEBI) introduced a Stewardship Code for all Mutual Funds and all categories of Alternative Investment Funds (AIFs). The Stewardship Code is effective from July 2020 and accordingly, all Investment managers have started reporting on their stewardship activities. As regards best practices in engagement with stakeholders and appropriate disclosures to investors are concerned, the MF and AIF industry is going through a learning curve

In this background, the School for Corporate Governance of NISM jointly with CFA Institute India is organising a **"Two-Day Stewardship Conclave"** on November 25 - 26, 2021 between 4.00 PM to 6.30 PM.

Focus of the Conclave

The focus of the Conclave is to

- Present research on the current state of stewardship in India and the APAC region.
- Discuss international best practices, in terms of stewardship reporting, and engagement with companies.
- Discuss issues with respect to stewardship and engagement with a diverse set of stakeholders, including investors, issuers and proxy advisors.

Conclave Date & Time

November 25 & 26, 2021
4:00 am to 6:30 pm

Registration End Date

November 24, 2021
till 11:59 pm

Registration Fees

Participation is
complementary

Programme Schedule

Day 1: November 25, 2021

Timing	Topic	Resource Person
04:00 PM - 04:10 PM	Welcome and Opening Remarks	<p>Dr. CKG Nair Director NISM</p> <p>Mr. Vidhu Shekhar Country Head, India CFA Institute</p>
04:10 PM - 04:45 PM	Stewardship in APAC – Awareness, Effectiveness and Evolution of Stewardship Codes in APAC	Mr. Sivananth Ramachandran CFA & CIPM, Director Capital Markets Policy CFA Institute
04:45 PM - 06:05 PM	<p>Panel Discussion Stewardship from a Multi-Stakeholder Perspective</p>	<p>Mr. Kanchinadham Parvatheesam Company Secretary & Chief Legal Officer Tata Steel</p> <p>Mr. Harsha Upadhyaya Chief Investment Officer Equity, President Kotak Mahindra Asset Management Company Ltd</p> <p>Mr. J. N. Gupta Managing Director Stakeholders Empowerment Services</p> <p>Mr. Paul Milon Head of Stewardship, Asia Pacific BNP Paribas Asset Management</p> <p>Moderator: Mr. Sivananth Ramachandran CFA & CIPM, Director Capital Markets Policy CFA Institute</p>
06:05 PM - 06:10 PM	Vote of Thanks	

Day 2: November 26, 2021

Timing	Topic	Resource
04:00 PM - 04:10 PM	Opening Remarks	<p>Dr. CKG Nair Director NISM</p> <p>Mr. Vidhu Shekhar Country Head, India CFA Institute</p>
04:10 PM - 04:35 PM	Keynote Address	Mr. Anant Barua Whole Time Member SEBI

Timing	Topic	Resource
04:35 PM - 05:20 PM	Evolution of UK's stewardship code and Findings from Stewardship Reporting Review	Mr. David Styles Director Corporate Governance and Stewardship, Financial Reporting Council Ms. Paolo Giua Senior Policy Associate Financial Reporting Council
05:25 PM - 06:10 PM	Early research on engagement and voting, and the way forward in India	Dr. V. R. Narasimhan Dean NISM Mr. M. Krishnamoorthy Member of Faculty NISM
06:10 PM - 06:15 PM	Vote of Thanks	

How to register for this webinar?

Step 1:

Please click this registration link or open this link in any browser.

<http://slims.nism.ac.in/eduwiz/forms/newregister.html>

Step 2:

Please click on New registration (Once the registration is complete, you will receive User ID and Password in your registered Email ID).

Step 3:

In programme name, please select 'NISM CFA Institute India Stewardship Conclave' and fill other necessary details.

Step 4:

Please complete the registration

Note:

Once you have registered, you will receive the link to join the webinar in your registered email id on.

Programme Director

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 NISM

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Please contact Ms. Shubhangi Chaturvedi (shubhangi.chaturvedi@nism.ac.in) for further information.

