

## Annexure I – Syllabus Outline

### NISM-Series-XXIII: Social Auditors Certification Examination

#### Objective of the Examination

The examination aims to create a pool of social auditors who would assess the impact of social interventions of various social enterprises who raise funds through the Social Stock Exchange platform.

On successful completion of the examination the candidate should:

- Know the basics of social auditing, Code of conduct of Social Auditors.
- Understand the general concepts related to social stock exchange, social audit and social impact assessment.
- Know the Social Impact Reporting disclosures and regulations.

#### Examination Specifications

The examination consists of 85 multiple-choice and 3 case-based/case let questions (each case having 5 questions) totalling to 100 marks. The assessment structure is as follows:

Multiple Choice Questions [85 questions of 1 mark each]	$85 * 1 = 85$
Case-based Questions [3 cases (each cases with 5 questions of 1 mark each)]	$3 * 5 * 1 = 15$

The examination should be completed in 2 hours. The passing score for the examination is 60. There shall be negative marking of 25 percent of the marks assigned to a question.

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### Syllabus Outline and Weightages

<b>Chapter No.</b>	<b>Chapter Name</b>	<b>Weightages</b>
1	Introduction to Social Sector and Indian Financial Markets	8 (4+4)
2	Introduction to Trading, Clearing Settlement and Risk Management	4
3	Introduction to Social Stock Exchange	10
4	Registration and Listing on Social Stock Exchanges	5
5	Social Audit and Social Auditors	12
6	Social Audit Techniques and Standards	13
7	Social Impact Assessment	15
8	Social Impact Assessment - Case Studies	15
9	Disclosure Norms, Reporting Requirements by Social Auditors and Penalties	10
10	Taxation	4
11	Key Regulations	4