

NiSM

NATIONAL INSTITUTE OF
SECURITIES MARKETS

(An Educational Initiative of SEBI)



MAHARASHTRA NATIONAL LAW
UNIVERSITY, MUMBAI

(Established under the Maharashtra National Law
University Act, 2014)

Fostering New Age Legal Professionals



LL.M.
(Investment and
Securities Laws)


Placement
 2022-23





Inauguration of NISM's
State-of-the-art Campus
at Patalganga by

Shri Narendra Modi,

the hon'ble Prime Minister of India.

on 24th December 2016.



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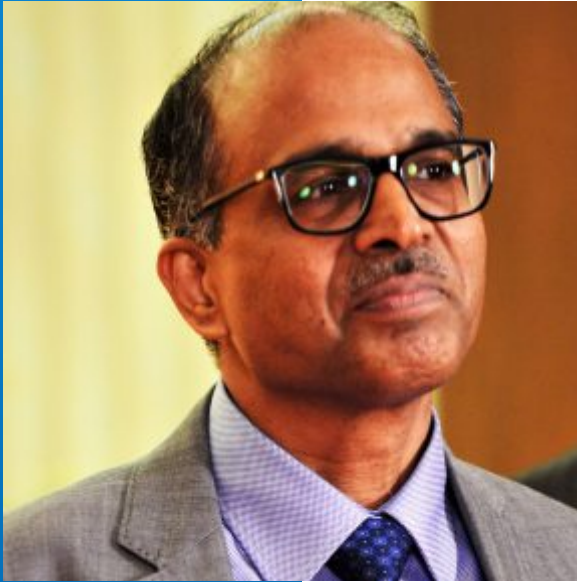
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Message from the Director

Dear Recruiters,

I am happy to present the third batch of LLM students (2022-2023) of the National Institute of Securities Markets (NISM). This Master's programme, LLM (Investment and Securities Laws) is a joint initiative of NISM and Maharashtra National Law University (MNLU), Mumbai, launched to create a specialised cadre of legal professionals in the corporate, securities, banking, insurance, competition and insolvency areas with greater focus on securities laws.

Given the growing importance of financial-corporate-insolvency laws, this group of 50 plus professionally skilled lawyers will be of considerable help in performing critical roles in organisations- companies, law firms, mutual funds, proxy advisors and so on. They are well trained on the nuances of the legal-financial junction. They are taught by the mix of academics and practitioners in the industry, legal professionals as well as by the regulatory officials. This brochure gives you details of their learning and skills. These professionals will be assets to every organisation they will be part of.

I strongly recommend them to be part of your organisation.

Dr. CKG Nair
Director, NISM



Message from the Program Director

I have great pleasure in inviting you to participate in the placement process of our students of the one-year LL.M. Program in Investment and Securities Laws jointly offered by Maharashtra, National Law University, Mumbai (MNLU), and National Institute of Securities Markets (NISM).

In pursuance of our mission to educate and train legal professionals for the financial markets, we endeavoured to develop the knowledge and skills of the students through engaging them in regular classes, research projects and industry sessions conducted by senior academicians and industry experts. We also affianced our students in various leadership series lectures, conferences, and workshops including specially designed workshops to develop communication, soft skills, and art of interpretation of our students.

Our curriculum trains students to think broadly about the issues in the field of investment and securities law, use of latest research and latest case studies to explore the solutions from various points of view, and think creatively. Students are trained to write a good research report on contemporary legal issues in investment and securities law by capitalizing effectively on the cases, best data, and ideas. As a part of our program, we also provide necessary software training related to securities trading and data analysis.

I take this opportunity to welcome you to the NISM and provide an opportunity for assessment of our students and to evaluate their suitability for a job in your esteemed organization.

Best Wishes!

Dr. Rajesh Kumar

Associate Professor & Program Director (LL.M.)

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Chairperson, SEBI

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Member Securities Appellate Tribunal (SAT)

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Former Executive Director, SEBI, Advocate

Mr. Joby Mathew
Advocate

Mr. Shridhar Gorthi
Partner, Trilegal

Academic Team

Members

Dr. CKG Nair
Director, NISM

Prof. (Dr.) Dilip Ukey
Vice – Chancellor, MNLU Mumbai

Mr. Sunil Jayawant Kadam
Registrar, NISM

Prof. (Dr.) Anil G. Variath
Registrar (I/C), MNLU Mumbai

Prof. (Dr.) Rachana Baid
Professor, Dean (Academics) &
HoD – School for Securities
Education (SSE), NISM

Dr. Nitin Tike
Sr. GM, – Certification, Test and
CPE Administration, Controller
of Examinations Unit (COE)

Dr. Venkatachalam Shunmugam
Adjunct Professor, NISM

Dr. Jinesh Panchali
Professor, NISM

Dr. Latha Chari
Professor & HOD (SSIR), NISM

Dr. Rajesh Kumar
Program Director and
Associate Professor, NISM

Dr. Jatin Trivedi
Associate Professor, NISM

Dr. Kiran Rai
Associate Professor, MNLU Mumbai

Dr. Karuna Akshay Malviya
Director, Centre for Advanced
Training in Taxation Laws and
Coordinator, Centre for Women Law
and Gender Justice, MNLU Mumbai

Dr. Narsimhulu Siddula
Assistant Professor, NISM

Dr. Ranjith Krishnan
Assistant Professor, Head (APU) and
Industry Liaison Officer, NISM

Dr. Pradiptarathi Panda
Assistant Professor, NISM

Dr. Anand Raut
Assistant Professor of Law,
MNLU Mumbai

Mr. Anand Shrivastava
Assistant Professor of Law,
MNLU Mumbai

Dr. Mohd. Meraj Inamdar
Assistant Professor, NISM

**Relinquished position recently on account of assuming charge as Chairperson, Maharashtra Real Estate Appellate Tribunal*

About NISM

The National Institute of Securities Markets (NISM) is a public trust established in 2006 by the Securities and Exchange Board of India (SEBI). From spreading awareness of securities markets among common investors to skill development of market professionals, the institute carries out a wide range of capacity building activities at various levels. NISM's six schools of excellence and the National Center for Financial Education work in synergy towards professionalizing securities markets.

The School for Securities Education (SSE) creates new age securities markets professionals and equips them with expertise to meet the enhanced expectations through its uniquely positioned academic programmes.

The Six Schools of Excellence:

- School for Securities Education (SSE)
- School for Certification of Intermediaries (SCI)
- School for Regulatory Studies and Supervision (SRSS)
- School for Investor Education and Financial Literacy (SIEFL)
- School for Corporate Governance (SCG)
- School for Securities Information and Research (SSIR)

Vision: To lead, catalyze and deliver educational initiatives to professionalize the securities markets.

Mission: To engage in capacity building among stakeholders in the securities markets through financial literacy, professional education, certification, enhancing governance standards and fostering policy research.



About the Program

LL.M. (Investment and Securities Laws)

National Institute of Securities Market (NISM) in collaboration with India's premier law university, Maharashtra National Law University, Mumbai (MNLU) is offering this LL.M. in Investment and Securities Laws. This specialized LL.M. program offers full-time, intensive academic training in the legal regulation of securities and investments in India and intends to provide the best legal professionals for the Financial Market.

This course offers an introduction to the three most important securities laws: The SCR Act of 1956, SEBI Act of 1992 and the Depository Act of 1996. In line with NISM's mission for academic excellence, the course offers a depth of background in laws related to finance, corporate and securities market. The course also offers practical SMART lab training to the students for better understanding of the various processes and use of the technology in the securities markets.

This LL.M. course in Investment and Securities Laws is for those Lawyers who want to sharpen their skills and learn the tools to be an effective business lawyer through dedicated enhanced interdisciplinary legal and securities market education for the academic community, as well as for experienced legal and business practitioners related to financial markets.



Programme Architecture

Trimester - 1 (August - December)

| Paper | Paper Title | Credits | Marks |
|-------------------|---|---------|-------|
| General paper - 1 | Research Methods | 3 | 100 |
| General paper - 2 | Law and Justice in a Globalized World | 3 | 100 |
| General paper - 3 | Comparative Public Law and Governance | 3 | 100 |
| General paper - 4 | An Overview of Securities Markets (Foundational topics along with Smart Lab) | 2 | 100 |

Trimester - 2 (December - March)

| Paper | Paper Title | Credits | Marks |
|-------------|---|---------|-------|
| Special - 1 | Company Law (Advance concepts related to Legal setting of Corporate Finance, Management and Governance) | 2 | 100 |
| Special - 2 | Securities Regulations -1 | 2 | 100 |
| Special - 3 | Competition Law and Policy | 2 | 100 |

Trimester - 3 (March - June)

| Paper | Paper Title | Credits | Marks |
|-------------|--|---------|-------|
| Special - 4 | Banking and Insurance | 3 | 100 |
| Special - 5 | Investment Laws (Covering Securities Regulations-2) and Laws related to Foreign investment (FEMA Act, 1999, Joint venture, Foreign collaboration and others) | 2 | 100 |
| Special - 6 | Law of Insolvency and Bankruptcy | 2 | 100 |

(Dissertation and internship/ field research/ project) (June - July)

| Paper | Paper Title | Credits | Marks |
|--------------------------------|---|-----------|-------------|
| Special - 7 | Dissertation | 5 | 200 |
| Special - 8 | Professional Certifications (Four Certifications)* | 2 | 100 |
| Total number of credits | | 30 | 1300 |

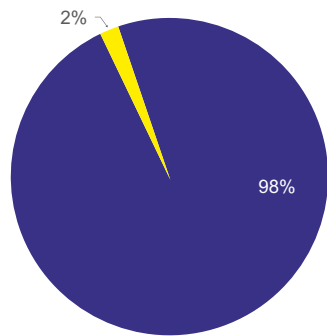
* All LL.M. students have cleared following NISM Certifications:

1. NISM-Series-VII: Securities Operations and Risk Management Certification Examination (During 1st trimester)
2. NISM-Series-VI: Depository Operations Certification Examination (During 1st trimester)
3. NISM-Series-V-B: Mutual Fund Foundation Certification Examination (During 2nd trimester)
4. NISM-Series-VIII: Equity Derivatives Certification Examination (During 2nd trimester)

Knowledge Series

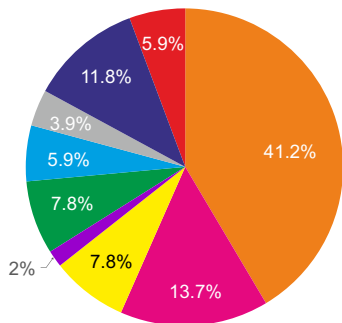
| Sr. | Topic | Faculty | Organisation & Designation |
|-----|--|-------------------------|---|
| 1 | Role of Legal professionals in the securities markets | Mr. Anil Choudhary | Partner, FinSec Law Advisers |
| 2 | The regulatory framework of IFSCA and its interface with other regulators | Mr. Praveen Trivedi | Executive Director, IFSCA |
| 3 | New Related Party Transaction Regime for the listed companies | Mr. Sharad Abhyankar | Partner, Khaitan & Co. |
| 4 | The evolution of Investors , Market Intermediaries and Exchanges through my eyes | Mr. C J George | Managing Director and CEO, Geojit Financial Services Ltd |
| 5 | Role of Merchant Banker | Mr. B. Renganathan | General Counse & Head Corporate Secretary |
| 6 | Law and Justice in Globalized World | Dr. Saurabh Chaturvedi | Dean. MIT World Peace University, Pune |
| 7 | Working of Stock Exchanges | Mr. Vitthal More | Sr. Vice President, NSE |
| 8 | Working of Commodity Exchanges | Ms. Seema Nayak | Chief Compliance Officer, National Commodity & Derivatives Exchange Ltd |
| 9 | Role of Depository in the Securities Markets | Ms. Nayana Ovalekar | Chief Operating Officer, Central Depository Services Ltd |
| 10 | Special Lecture on Associated Person | Dr. M. S. Sahoo | Distinguished Professor in NLU, Delhi |
| 11 | Carrier prospects in Investment Advisory | Mr. Amit Trivedi | Author/ Trainer |
| 12 | Building a career in the Investment industry - Opportunities & 6 Essential Skills. | Mr. Dhaval Kapadia | Director (Investment Management) & Portfolio Specialist, Morning Star. |
| 13 | Shifts in Financial Trends | Dr. Viral V Acharya | C.V. Starr Professor, NYU-Stern and Former Deputy Governor, RBI |
| 14 | Regulatory Framework for Mutual Funds | Mr. Anant Barua | Whole Time Member, SEBI |
| 15 | SEBI (SBEB and Sweat Equity) Regulations, 2021 | Mr. Bibhudutta Samal | Deputy General Manager, SEBI |
| 16 | SEBI(AIF Regulations), 2012 | Mr. Roshan Sara Cherian | Manager, SEBI |
| 17 | Experience of his Entrepreneurial Journey | Mr. Shashank Moddhia | Founder, The Renal Project |

Batch at a Glance (2022-2023)



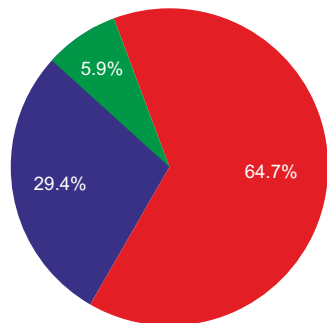
Level of Education

- Graduation
- Post Graduation



Educational Qualification

- B.A.LL.B (Hons)
- LL.B 3 Years
- B.A.LL.B
- LL.M
- BBA.LL.B (Hons)
- BBA.LL.B
- LL.B 3 (Hons)
- B.L.S.LL.B
- B.Com LL.B (Hons)



Work Experience

- Fresher
- 0-2 Years
- 2-5 Years



Students' Profile



Aditya Sureka

Qualification: B.A.LL.B (Hons), 2022

University: Faculty of Law, Banaras Hindu University



Anshul Tripathi

Qualification: B.A.LL.B (Hons), 2022

University: Vivekananda Institute of Professional Studies,
Guru Gobind Singh Indraprastha University



Aditya Narayan Maurya

Qualification: B.A.LL.B (Hons), 2022

University: Faculty of Law, University of Allahabad



Anushree S Nair

Qualification: B.A.LL.B, 2022

University: Symbiosis Law School, Hyderabad, Symbiosis
International (Deemed University)



Ajay Jamwal

Qualification: B.A.LL.B (Hons), 2022

University: University Institute of Legal Studies, Panjab
University



Aswathi MR

Qualification: BBA.LL.B (Hons), 2020

University: Saveetha School of Law, Chennai, Saveetha
University



Amogh Agrawal

Qualification: B.Com, LL.B (3 Years), 2021

University: Law Centre II, Faculty of law, University of Delhi

Prior Work Exp: Arun Kumar Sinha & Associates, New Delhi,
Legal Associate (10 Months)



Atul Agrawal

Qualification: B.A.LL.B (Hons), 2022

University: Hidayatullah National Law University, Raipur

Other Qualification: Qualified Company Secretary

Students' Profile



Aura Das

Qualification: BBA.LL.B, 2021

University: Symbiosis Law School, Noida, Symbiosis International (Deemed University)



Christy Mathew

Qualification: BBA.LL.B (Hons), 2022

University: University of Mumbai Law Academy, University of Mumbai



Gargee Jagam

Qualification: B.A.LL.B (Hons), 2022

University: Symbiosis Law School, Pune, Symbiosis International (Deemed University)



Hari Om Verma

Qualification: LL.B (Hons)(3 Years), 2020

University: Law College Dehradun, Uttaranchal University

Prior Work Exp: Practiced as an Advocate in District Court, Kotputli (Jaipur) and Rajasthan High Court, Jaipur (1 Year, 5 Months)

Other Qualification: B.Com (University of Rajasthan)



Himani Anand

Qualification: LL.B (3 Years), 2022

University: Amity Law School Noida, Amity University

Other Qualification: B.A. Political Science (Hons), PGD (ADR) from ILI, New Delhi



Kavya Yadav

Qualification: B.A.LL.B (Hons), 2022

University: University Institute of Legal Studies, Panjab University



Komal Shah

Qualification: B.L.S.LL.B, 2022

University: Pravin Gandhi College of Law, University of Mumbai



Luv Madan

Qualification: BBA.LL.B, 2019

University: Symbiosis Law School, Noida, Symbiosis International (Deemed University)

Prior Work Exp: Hreem Legal, Noida (3 Years, 2 Months)

Students' Profile



Md Rehaan Danish

Qualification: B.A.LL.B (Hons), 2022

University: Central University of South Bihar



Namrata Chaudhary

Qualification: B.A.LL.B, 2022

University: G H Rasoni Law School, Nagpur University

Other Qualification: Pursuing CS



Meha

Qualification: B.A.LL.B (Hons), 2022

University: Panjab University Regional Centre, Panjab University



Nikhita Sekhar

Qualification: B.L.S.LL.B, 2021

University: Pravin Gandhi College of Law, University of Mumbai

Prior Work Exp: Tata Play Ltd. (4 Months)



Meenakshi Mehra

Qualification: B.A.LL.B (Hons), 2021

University: Sharda University School of Law



Padala Datta Siva Rama Krishna Reddy

Qualification: BBA.LL.B, 2020

University: IFIM Law School, Karnataka State Law University

Prior Work Exp: Molex India Business Services Pvt. Ltd. (1Year, 5 Months)



Mukta Shirke

Qualification: B.L.S.LL.B, 2022

University: Dr. D. Y. Patil College of Law, University of Mumbai



Paras Ganguly

Qualification: LL.B (3 Years), 2020

University: Law Centre-1, Faculty of Law, University of Delhi

Prior Work Exp: Practised under an AOR (Satyendra Kumar Singh) in District Court Lucknow & Lucknow High Court. (1 Year)

Students' Profile



Philip Oommen

Qualification: B.A.LL.B (Hons), 2022

University: Rajiv Gandhi National University of Law, Patiala, Punjab



Piyush Chandra Srivastava

Qualification: LL.B (3 Years), 2021

University: Faculty of Law, University of Lucknow

Prior Work Exp: Practicing as an advocate in District & Lucknow High Court (10 Months)

Other Qualification: B.A. (Hons) Philosophy, Banaras Hindu University



Prajwal Sinha

Qualification: B.A.LL.B (Hons), 2022

University: School Of Law, University of petroleum and energy studies



Prathi Bheda

Qualification: B.L.S.LL.B, 2020

University: VES College of Law, University of Mumbai

Prior Work Exp: Ms. Dipti Mehta, Insolvency Professional, Mumbai (6 Months)



Priyanka Banik

Qualification: LL.B (3 Years), 2021

University: Karimganj Law college, Assam University

Other Qualification: B.com (Accountancy)



Rajluxmi Joshi

Qualification: B.L.S.LL.B, 2022

University: VES College of Law, University of Mumbai



Reecha Agrawal

Qualification: B.B.A.LL.B (Hons), 2020

University: Unitedworld School of Law, Gujarat University

Prior Work Exp: Tradebulls Securities Pvt. Ltd. and Torrent Pharmaceuticals Ltd. (2 Years)



Richa Singh

Qualification: B.L.S.LL.B, 2021

University: Government Law College, University of Mumbai

Prior Work Exp: Practiced as an Advocate in Bombay High Court under advocate Kamini Misra (12 Months)

Students' Profile



Rishabh Kumar

Qualification: B.A.LL.B (Hons), 2021

University: School of Law and Governance, Central University of South Bihar



Samruddhi Chaudhari

Qualification: B.A.LL.B, (Hons), 2021

University: N.B.T. Law College, Savitribai Phule Pune University



Rupali Sinha

Qualification: B.A.LL.B (Hons), 2021

University: School of Law and Governance, Central University of South Bihar



Sanghamitra Khawas

Qualification: B.A.LL.B, (Hons), 2022

University: School Of Law, University of Petroleum And Energy Studies



Rushikesh Dharmadhikari

Qualification: LL.B (3 Years), 2022

University: MM. Shankarrao Chavan Law College Pune, Savitribai Phule Pune University

Other Qualification: B.A. (English Literature)



Saurav Kumar

Qualification: B.A.LL.B, 2022

University: Central University of South Bihar



Saksham Solanki

Qualification: B.A.LL.B (Hons), 2020

University: Amity Law School, Guru Gobind Singh Indraprastha University

Prior Work Exp: Karma Law Associates (2 Years, 1 Month)



Shashwat Bhutani

Qualification: B.A.LLB (Hons), 2022

University: Faculty of Law, University of Allahabad

Students' Profile



Shivam Jindal

Qualification: LL.M, B.A.LL.B, 2020

University: LL.M: Central University of Punjab

LL.B: Law College Dehradun, Uttaranchal University

Prior Work Exp: Practice at Bathinda District Bar (2 Years)



Shivani Tapadia

Qualification: B.A.LL.B (Hons), 2020

University: N.B.T. Law College, Savitribai Phule Pune University



Shreya Agrawal

Qualification: B.B.A.LL.B (Hons), 2022

University: Vivekananda Institute of Professional Studies,
Guru Gobind Singh Indraprastha University



Sonia Boob

Qualification: LLB (3 Years), 2021

University: Government Law PG College, Maharaja Ganga Singh
University

Prior Work Exp: GGPS and Associates CA (2 Years, 1 Month)

Other Qualification: CA, CS Executive- AIR 2, B.com



Sristi Nimodia

Qualification: LL.B (Hons)(3 Years), 2022, B.Sc (Hons) Maths.

University: Symbiosis Law School, Pune, Symbiosis International
University

Prior Work Exp: Chamber of Adv. Sudeep Pasbola, Bombay
High Court (3 Months)



Subha Mohan S

Qualification: B.A.LL.B (Hons), 2021

University: VELS School of Law, Chennai, VELS University

Prior Work Exp: S. Siva Shanmugam & P. Ravi Shankar
Advocates (9 Months)



Sumedha Singote

Qualification: B.A.LL.B, 2020

University: Yeshwant College, Nagpur University



Supriyo Chatterjee

Qualification: B.Com, LL.B (Hons), 2022

University: School of Law, University of Petroleum and
Energy Studies, Dehradun

Students' Profile



Vaishalika Maan

Qualification: B.Com, LL.B (Hons), 2022

University: School of Law, University of Petroleum and Energy Studies



Vaishnavi Srinivasan

Qualification: B.Com, LL.B (Hons), 2022

University: SASTRA, School of Law, SASTRA Deemed to be University



Vineet Chaturvedi

Qualification: B.A.LL.B, (Hons), 2022

University: School of Law and Governance, Central University of South Bihar



Our Past Recruiters/Internship Organisations

LAW FIRMS



ALLIANCE LAW
Advocates & Legal Advisors



FoxMandal
Solutions & Advocates



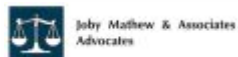
HSA
ADVOCATES



K.J. JOHN & CO.
AN INDEPENDENT LAW FIRM IN DELHI



PARINAM
LAW ASSOCIATES



Joby Mathew & Associates
Advocates



V.R. LEGAL
ASSOCIATES
New Delhi



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Advocates & Solicitors
ESTD 1982

Ramesh Batra &
Associates Gurugram



Mindspright Legal
समृद्धि का सपना, सच की प्रतिज्ञा



Shardul Amarchand Mangaldas



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PARTNERS
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ADVOCATES



The law Point



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ALPHA
CAPITAL
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prb investment services ltd.
Creating wealth through responsible investments

SR Legal

RV Legal

ORGANIZATIONS



CDSL
Central Depository Limited



Kotak
Kotak Securities



JM FINANCIAL



MARK



SES
Stakeholders Empowerment Services

KEYNOTE



Abakkus
Believe in the Future



MSS



NSE



CAPITAL SQUARE
Teaming together to create value



AXIS BANK



Prabhudas
Lilladher
POWERING YOUR FINANCIAL GROWTH

SUNDOE



NJ Asset Management Private Limited



Career Lapper



voyager
CAPITAL

& many more...

| Placement Committee | | |
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| Mr. Sanjeev Bajaj +91-7631608805 GM - Marketing & Communications Dept. & Head, Placement Assistance Cell | | Dr. Rajesh Kumar +91- 7717721140 Associate Professor & Program Director LL.M(I&SL), NISM |
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NATIONAL INSTITUTE OF SECURITIES MARKETS

NISM REGISTERED OFFICE

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Bandra East, Mumbai, 400051
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NISM CAMPUS

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Mohopada, Rasayani, District Raigad,
Near Navi Mumbai, Maharashtra - 410222
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Plot No. 82, Sector-17, Vashi, Navi Mumbai - 400 703
Board Line: +91-22-66735100/01



<https://bit.ly/34U0Fty>

 **Download NISM App**