

NiSM NATIONAL INSTITUTE OF SECURITIES MARKETS A Capacity Building Initiative of SEBI



**MAHARASHTRA NATIONAL LAW UNIVERSITY, MUMBAI** (Established under the Maharashtra National Law

# **Fostering New Age Legal Professionals**







Inauguration of NISM's State-of-the-art Campus at Patalganga by

# Shri Narendra Modi,

the hon'ble Prime Minister of India.

on 24th December 2016.









# Message from the Director

Dear Recruiters,

I am happy to present the fourth batch of LL.M. students (2023-2024) of the National Institute of Securities Markets (NISM). The Masters programme in Investment and Securities Laws is a joint initiative of NISM and Maharashtra National Law University (MNLU), Mumbai, launched to create a specialised cadre of legal professionals in the corporate, securities, banking, insurance, competition and insolvency areas with greater focus on securities and investment related laws.

Given the growing importance of financial-corporate-insolvency laws, this group of 50 plus professionally skilled lawyers will be of ready to perform critical roles in organisations - companies, law firms, mutual funds, proxy advisors and so on. They are well trained on the nuances of the legal-financial function. They have been tutored by a mix of academicians and practitioners in the industry, legal professionals and officers from the regulatory

domain.

This brochure gives you details of their experience and skills. These professionals will be assets to every organisation they will be part of.

I strongly recommend them to be part of your organisation.

**Mr. Ashwani Bhatia** Director, NISM



### Message from the Program Director

I would like to invite you to participate in the placement process of our LL.M. students of the one-year LL.M. Program in Investment and Securities Laws, which is jointly offered by Maharashtra National Law University, Mumbai (MNLU), and the National Institute of Securities Markets (NISM). Our mission is to educate and train legal professionals for the financial markets. We endeavor to develop the knowledge and skills of our students through regular classes, research projects, and industry interactions conducted by senior academicians and industry experts. We assist them in developing their communication skills, soft skills, and the art of interpretation through various leadership series lectures, conferences, and workshops, including specially designed workshops.

Our curriculum trains students to think broadly about the issues in the field of investment and securities law, use the latest research and case studies to explore solutions from various points of view, and think creatively. Students are trained to write a good research report on contemporary legal issues in investment and securities law by capitalizing

effectively on cases, best data, and ideas. As a part of our program, we also provide the necessary software training related to securities trading and data analysis. Our academic curriculum and practical exposure make them market-ready for various legal and compliance roles. Our past recruiters have found our student's knowledge and skills to be valuable and have benefited from them.

I take this opportunity to welcome you to NISM and provide an opportunity for assessment of our student's suitability for a job in your esteemed organization. I believe that our LL.M. Students have the potential to excel in your organization.

Best wishes!

**Dr. Rajesh Kumar** Professor & Program Director (LL.M.)

### **Board of Governors**

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**Ms. Madhabi Puri Buch** Chairperson, SEBI

#### Members

**Mr. Ashwini Bhatia** Director, NISM Whole Time Member, SEBI

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Mr. Amarjeet Singh Whole Time Member, SEBI

Mr. Girraj Prasad Garg Executive Director, SEBI

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**Mr. A. Balasubramanian** Managing Director and Chief Executive Officer, Aditya Birla Sunlife AMC Ltd.

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**Mr. Sunil Jayawant Kadam** Registrar, NISM

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#### Members

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**Mr. Ashwini Bhatia** Director, NISM Whole Time Member, SEBI

**Prof. (Dr.) Dilip Ukey** Vice – Chancellor, MNLU Mumbai

**Mr. P. K. Malhotra** Former Secretary, Ministry of Law and Justice, Former officiating Presiding officer and Member Securities Appellate Tribunal (SAT)

Mr. Rafique Dada Senior Advocate

**Ms. G. Babita Rayudu** Executive Director, SEBI

**Mr. J. N. Gupta** Former Executive Director, SEBI Co - founder Stakeholders Empowerment Services

**Mr. Somasekhar Sundaresan** Additional Judge, Bombay High Court

**Mr. Sandeep Parekh** Former Executive Director, SEBI, Advocate

**Mr. Ranganayakulu Jagarlamudi** Former Executive Director, SEBI, Advocate

Mr. Joby Mathew Advocate

**Mr. Shridhar Gorthi** Partner, Trilegal

### **Academic Team**

#### Members

**Mr. Ashwini Bhatia** Director, NISM Whole Time Member, SEBI

**Prof. (Dr.) Dilip Ukey** Vice - Chancellor, MNLU Mumbai

**Mr. Sunil Jayawant Kadam** Registrar, NISM

**Prof. (Dr.) Anil G. Variath** Director, CRCJ, MNLU Mumbai

Prof. (Dr.) Rachana Baid Professor, Dean (Academics) & HoD - School for Securities Education (SSE), NISM

**Dr. Nitin Tike** Sr. GM, - Certification, Test and CPE Administration, Controller of Examinations Unit (COE)

**Dr. Venkatachalam Shunmugam** Adjunct Professor, NISM

Dr. Jinesh Panchali Professor, NISM

Dr. Latha Chari Professor & HOD (SSIR), NISM

**Dr. Rajesh Kumar** Program Director and Professor, NISM

**Dr. Jatin Trivedi** Associate Professor, NISM

**Dr. Kiran Rai** Associate Professor, MNLU Mumbai Dr. Karuna Akshay Malviya

Director, Centre for Advanced Training in Taxation Laws and Coordinator, Centre for Women Law and Gender Justice, MNLU Mumbai

**Dr. Anand Raut** Assistant Professor of Law, MNLU Mumbai

**Mr. Anand Shrivas** Assistant Professor of Law, MNLU Mumbai

**Dr. Mohd. Meraj Inamdar** Assistant Professor, NISM

**Mr. Shailesh Pingale** Member of faculty

**Dr. Shreyas Vyas** Assistant Professor, NISM

**Mr. Aditya Variath** Assistant Professor, MNLU

\*Relinquished position recently on account of assuming charge as Chairperson, Maharashtra Real Estate Appellate Tribunal

### **About NISM**

In the budget speech of February 2005, the Honorable Union Finance Minister announced that Securities and Exchange Board of India (SEBI) should establish an Institute to undertake securities markets education and research. In pursuance of this mandate, SEBI established the National Institute of Securities Markets (NISM), in 2006, as a Public Trust under the Bombay Public Trusts Act, 1950.

NISM is an Institute of higher learning with the vision of capacity and capability building in the field of securities market and disseminating knowledge through long term and short term programs via technology driven initiatives in hybrid modes and through e-learning programs.

NISM has been carrying out a wide range of activities aimed at enhancing quality standards in the securities markets and for increasing participation therein, addressing various stakeholders of securities markets.

NISM offers a bouquet of uniquely positioned programs, executive trainings, conferences, e-learning programs, certifications and investor education activities as per the market needs. The primary focus in these programs is on 'skill development' that prepares aspiring professionals with well-rounded and holistic understanding of the markets and equips existing professionals with expertise in various functional domains like operations, legal, audit & inspection, surveillance, regulatory, investor grievance, compliance, etc.

NISM also collaborates/tie-ups with educational institutions, Market Infrastructure Institutions, market intermediaries, regulators, issuers, market participants etc. for developing and delivering programs of various types to help professionalize the market. NISM is currently in the process of enhancing the reach of its programs in order to cover a larger audience and to touch every part of the country.



## About the Program LL.M. (Investment and Securities Laws)

National Institute of Securities Market (NISM) in collaboration with India's premier law university, Maharashtra National Law University, Mumbai (MNLU) is offering this LL.M. in Investment and Securities Laws. This specialized LL.M. program offers full-time, intensive academic training in the legal regulation of securities and investments in India and intends to provide the best legal professionals for the Financial Market.

This course offers an introduction to the three most important securities laws: The SCR Act of 1956, SEBI Act of 1992 and the Depository Act of 1996. In line with NISM's mission for academic excellence, the course offers a depth of background in laws related to finance, corporate and securities market. The course also offers practical SMART lab training to the students for better understanding of the various processes and use of the technology in the securities markets.

This LL.M. course in Investment and Securities Laws is for those Lawyers who want to sharpen their skills and learn the tools to be an effective business lawyer through dedicated enhanced interdisciplinary legal and securities market education for the academic community, as well as for experienced legal and business practitioners related to financial markets.



# **Programme Architecture**

#### Trimester - 1 (July - October)

Paper	Paper Title	Credits	Marks
General paper - 1	Research Methods	3	100
General paper - 2	Law and Justice in a Globalized World	3	100
General paper - 3	Comparative Public Law and Governance	3	100
General paper - 4	An Overview of Securities Markets (Foundational topics along with Smart Lab)	2	100

#### Trimester - 2 (November - January)

Paper	Paper Title	Credits	Marks	
Special - 1	Company Law (Advance concepts related to Legal setting of Corporate Finance, Management and Governance)	2	100	
Special - 2	Securities Regulations -1	2	100	
Special - 3	Competition Law and Policy	2	100	

#### Trimester - 3 (February - May)

	Paper	r Paper Title		Marks
S	Special - 4	Banking and Insurance	3	100
S	Special - 5	Investment Laws (Covering Securities Regulations-2) and Laws related to Foreign investment (FEMA Act, 1999, Joint venture, Foreign collaboration and others)		100
S	Special - 6	Law of Insolvency and Bankruptcy	2	100

#### (Dissertation and internship/ field research/ project)

Paper	Paper Title	Credits	Marks
Special - 7	Dissertation	5	200
Special - 8	Professional Certifications (Four Certifications)*	2	100
	30	1300	

\* All LL.M. students have cleared following NISM Certifications:

1. NISM-Series-VII: Securities Operations and Risk Management Certification Examination (During 1st trimester)

2. NISM-Series-VI: Depository Operations Certification Examination (During 1st trimester)

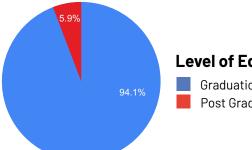
3. NISM-Series-V-B: Mutual Fund Foundation Certification Examination (During 2nd trimester)

4. NISM-Series-VIII: Equity Derivatives Certification Examination (During 2nd trimester)

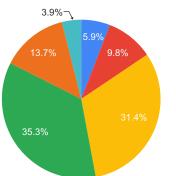
# **Knowledge Series**

Sr.	Торіс	Faculty	Organisation & Designation
1	Advocacy Skills	Mr. Sharad Abhyankar	Partner, Khaitan & Co.
2	Role of Legal professionals in the Securities Markets	Mr. B Renganathan	General Counse & Head Corporate Secretary
3	Developing Soft skills, communication skills, and drafting skills	Ms. Shruti Rajan	Partner, Trilegal
4	IBC: A Journey from Hopeless End to Endless	Dr. M.S. Sahoo	Distinguished Professor in NLU, Delhi
5	Working of Commodity Exchanges	Ms. Seema Nayak	Chief Compliance Officer, National Commodity & Derivatives Exchange Ltd
6	Role of Stock Exchanges in the Securities Markets	Mr. Rudresh Kunde	V.P. NSE
7	Arbitration Proceedings in the Securities Markets	Dr. Dilip Virani	Arbitrator
8	Financial innovation: From theory to practice	Prof. G. Sethu	Former in charge NISM
9	Role of Depository in the Securities Markets	Ms. Nayana Ovalekar	COO, CDSL
10	Recent Developments in the Securities Law	Mr. Anil Chodhary	Partner, FinSec Law Advisers
11	Market Micro structure	Mr. Ravi Varanasi	Former Group President, NSE
12	Securities Laws	Justice. B. N. Srikrishana	Former Judge of the Supreme Court, India
13	Insider Trading and New Standard of Proof	Justice. Madan T. Joshi	Judicial Member, SAT
14	Overview of Mutual Funds	Ms. Laxmi Rampurwala	AGM, SEBI
15	Regulatory Reforms in Financial Sector	Dr. C.S. Mohapatra	Chair Professor, NCAER
16	SEBI (SBEB and Sweat Equity) Regulations, 2021	Mr. Bibhudutta Samal	Deputy General Manager, SEBI
17	Experience of his Entrepreneurial Journey	Mr. Shashank Moddhia	Founder, The Renal Project

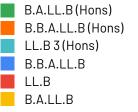
# Batch at a Glance (2023-2024)

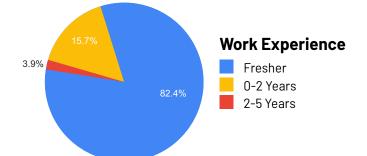


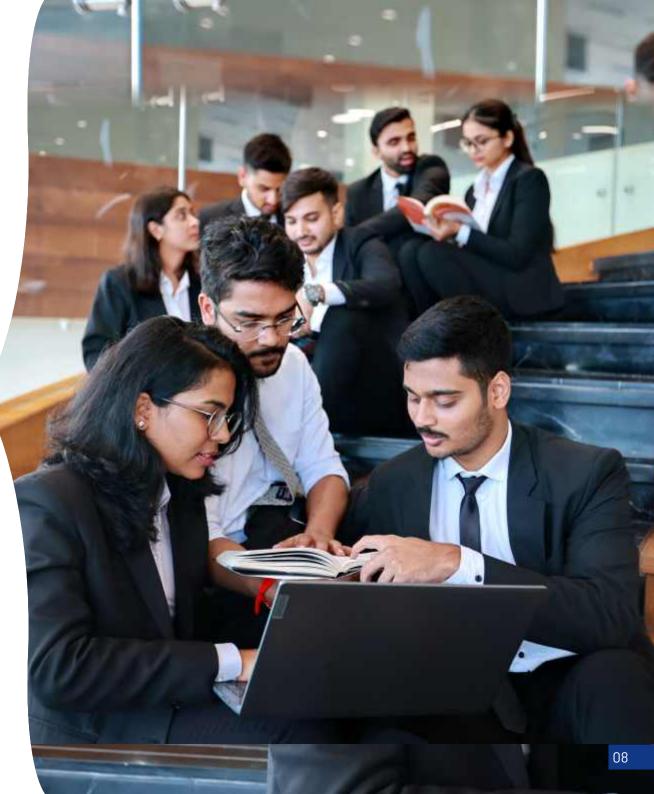
### Level of Education Graduation Post Graduation



### **Educational Qualification**









Abhinav Bhardwaj

**Qualification:** B.B.A.LL.B, 2023 **University:** Symbiosis Law School, Noida, Symbiosis International (Deemed) University, Pune



Aniket Kumar Qualification: B.A.LL.B (Hons), 2023 University: Central University of South Bihar



Abhisar Bhatt Qualification: B.A.,LL.B, 2023 University: Faculty of Law, University of Delhi



Aniket Patra Qualification: B.A.LL.B, 2023 University: Department of Law, University of Calcutta



Aditya Kulkarni Qualification: M. Com, LL.B, 2023 University: University of Mumbai



Aishwarya Sarma Qualification: B.A.LL.B, 2022 University: ILS Pune



Ankita Gupta Qualification: B.A.LL.B, 2023 University: Savitribai Phule Pune University



Anshita Priyadarshini Qualification: B.A.LL.B (Hons), 2022 University: Utkal University



Archit Sahgal Qualification: B.A.LL.B (Hons), 2018 University: University Of Allahabad Prior Work Exp: 1. High Court of Allahabad, Law Clerk Trainee (1 Year) and Juniorship (1 Year, 2 Months) 2. Chamber of Sr. Advocate Kamal Krishna High Court of Allahabad, (2 Years, 6 Months)



### Bhavyanshi Dariya

Qualification: B.A.LL.B, 2022, CS Foundation University: Symbiosis Law School, Noida, Symbiosis International (Deemed) University, Pune Prior Work Exp: Zypp Electric, Legal Executive (1 Year)



**Chetan Kumar Qualification:** B.A.LL.B (Hons), 2023 **University:** Central University of South Bihar



**Debarjita Bhattacharjee Qualification:** B.A.LL.B, 2023 **University:** Calcutta University



#### Bhuvanesh Maurya Qualification: B.A.LL.B (Hons), 2022 University: University of Allahabad Prior Work Exp: High Court Allahabad, Law Researcher (1 Year)



**Chayanika Borkataki Qualification:** B. Com, LL.B, 2021 **University:** University Law College, Gauhati University



Devavrathan S Qualification: BBA.LL.B (Hons), 2023 University: School of Law, UPES, Dehradun



#### Gagan Singh

Qualification: M.Com, LL.B, 2019, CS Executive University: Chhatrapati Shahu Ji Maharaj University, Kanpur Prior Work Exp: Deepak Parmar & Co. (2 Year)



#### **Garima Mangla**

**Qualification:** B.B.A.LL.B, 2023, CSEET **University:** Symbiosis Law School, Noida, Symbiosis International (Deemed) University, Pune



Manik Arora Qualification: B.B.A.LL.B, 2022 University: Guru Gobind Singh Indrapastha University



Gaurav Yashas Qualification: B.A.LL.B (Hons), 2022, (ACIArb) University: Jindal Global Law School, OP Jindal Global University Prior Work Exp: BCP Associates LLP, Legal Consultant (6 Months)



#### Harsh Bagdiya Qualification: B.A.LL.B, 2023 University: Dr. Babasaheb Ambedkar Marathwa

**University:** Dr. Babasaheb Ambedkar Marathwada University, M.P. Law College, Chh. Sambhajinagar



Hey Gouri Shankar Pandey Qualification: M.Sc.,LL.B (Hons), 2023 University: Indian Institute of Technology Kharagpur



Naman Jain
Qualification: B.A.LL.B, 2023
University: Guru Gobind Singh Indrapastha University
Prior Work Exp: Associate Partner, Lex Focus Legit LLP, Delhi (4 Months)



#### Nikita

**Qualification:** B.A.LL.B, 2023 **University:** Mody University of Science and Technology, Rajasthan



Nilanshu Mishra Qualification: B.A.LL.B (Hons), 2023 University: University of Allahabad



Oaishika Mukherjee Qualification: B.A.LL.B (Hons), 2023, CSEET University: Galgotias University



#### **Pranav Nair**

**Qualification:** B.A.LL.B (Hons), 2023, CS Foundation **University:** The Maharaja Sayajirao University of Baroda



Prafful Dilip Tonge Qualification: B.A.LL.B, 2023 University: Savitribai Phule Pune University



Pratiksha Rawat
Qualification: B.A.LL.B, 2021
University: Guru Gobind Singh Indraprastha University
Prior Work Exp: 1. Teleperformance Global Services Pvt. Ltd., Senior Legal Executive (1 Year, 4 Months)
2. Jupiter Law Partners-Legal Associate (7 Months)



Pragya Sinha Qualification: B.A.LL.B (Hons), 2023 University: Central University of South Bihar



**Pranav Joshi Qualification:** B.A.LL.B, 2023, CS Foundation **University:** Savitribai Phule Pune University



Prerak Raj

**Qualification:** B.A.LL.B (Hons), 2023, CSEET **University:** Central University of South Bihar



Ritika Rathour Qualification: B.B.A.LL.B, 2023 University: Symplosis Law School, No

**University:** Symbiosis Law School, Noida, Symbiosis International (Deemed) University, Pune



#### **Rrijuwarsh Loganathan**

Qualification: B.B.A.LL.B (Hons), 2021 University: VIT University, VIT School of Law, Chennai Prior Work Exp: 1. TASTEC (India) Pvt. Ltd., Legal officer (1 Year) 2. ARGA Investment Management (India) Pvt. Ltd., Compliance Associate, (11 Months)



Balla Sai Sri Anjani Qualification: B.A.LL.B, 2022 University: University College of Law, Osmania University, Hyderabad Prior Work Exp: M/S.G.Shashidhar Reddy & Associates, Junior Advocate (10 Months)



Sarvagya Jain Qualification: B.A.LL.B, 2022 University: Symbiosis Law School, Noida, Symbiosis International (Deemed) University, Pune Prior Work Exp: 1. IIFL Home Finance Ltd., Legal Officer (1Year, 1 Month), 2. Advocate (6 Months)



Satyam Shankar Qualification: B.A.LL.B (Hons), 2023 University: Central University of South Bihar



Sezal Mishra Qualification: B.A.LL.B, (Hons), 2022 University: National Law Institute University, Bhopal



Shivaditya Agrawal
Qualification: B.Com 2019, LL.B, (Hons), 2022
University: Government Law College, Devi Ahilya
Vishwavidyalya, Indore
Prior Work Exp: RK Mange and co., Advocates & Tax
Consultant, Legal Researcher (3 Months)



Shivam Sharma

**Qualification:** B.B.A.LL.B, 2023 **University:** Symbiosis Law School, Noida, Symbiosis International (Deemed) University, Pune



Shivansh Saran

Qualification: B.B.A.LL.B (Hons), 2023 University: School of Law, UPES, Dehradun



Shruti Tiwari Qualification: B.A.LL.B (Hons), 2022 University: Bharati Vidyapeeth New Law College, Pune



Soumiki Ghosh Qualification: B.A.LL.B (Hons), 2023 University: Maharashtra National Law University, Aurangabad



Shubha Sanjana Qualification: B.A.LL.B (Hons), 2023 University: Deen Dayal Upadhyaya Gorakhpur University, Gorakhpur



Soumitra Tambe Qualification: B.A.LL.B, 2023 University: Savitribai Phule Pune University



Siddhartha Mishra Qualification: B.A.LL.B (Hons), 2022 University: University of Allahabad Prior Work Exp: Chambers of Vijay Prakash Mishra, Advocate, Debt Recovery Tribunal, Law Associate (9 Months)



Soubhratra Bhattacharjee Qualification: B.A.LL.B, 2023 University: Calcutta University



**Surabhi Maheshwari Qualification:** B.Sc Phy. (Hons) 2020, LL.B, 2023 **University:** Faculty of Law, University of Delhi



Toshika Sharma Qualification: B.B.A.LL.B, 2022 University: Vivekananda Global University, Jaipur



Vanita Soni Qualification: B.A.LL.B, 2022 University: Guru Gobind Singh Indraprastha University, Delhi



Varun Pandey Qualification: B.A.LL.B (Hons), 2023, CS Executive University: Central University of South Bihar



Vishal Jain Qualification: B.A.LL.B, (Hons), 2023 University: Faculty of Law, Jamia Millia Islamia, New Delhi







# **Placement Office**

### **Placement Process**

ate of Commencement		10 <sup>th</sup> Feb 2024		
	Placement	Committee		
<b>Mr. Sanjeev Bajaj</b> +91-7631608805 GM - Partnership and Marketing Dept. & Head, Placement Assistance Cell		<b>Dr. Rajesh Kumar</b> +91- 7717721140 Professor & Program Director LL.M (I&SL), NISM		
	Placement	Co-ordinator		
		n <b>gi Chaturvedi</b> 18277968		
	Student Placer	nent Committee		
<b>Nikita (Head)</b> +91-7908419509	<b>Ritika Rathour</b> +91-9667658376	<b>Shivam Sharma</b> +91-7060694805	<b>Abhisar Bhatt</b> +91-8090643987	
<b>Pratiksha Rawat</b> +91-7042511500	<b>Shruti Tiwari</b> +91-9881878266	<b>Toshika Sharma</b> +91-6375820642	Prafful Dilip Tonge +91-9403334195	
	Email: Ilm.place	ment@nism.ac.in		

# NiSM

#### **NATIONAL INSTITUTE OF SECURITIES MARKETS**

#### NISM REGISTERED OFFICE

5th floor, NCL Cooperative Society, Plot No. C-6, E-Block, Bandra Kurla Complex, Bandra East, Mumbai, 400051 Board Line: +91-22-41738811

#### **NISM CAMPUS**

Plot No. IS 1 & IS 2, Patalganga Industrial Area, Mohopada, Rasayani, District Raigad, Near Navi Mumbai, Maharashtra - 410222 Board Line: +91-2192-668300/01

**NISM NAVI MUMBAI OFFICE** Plot No. 82, Sector-17, Vashi, Navi Mumbai - 400 703 Board Line: +91-22-66735100/01









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