Instructions

This page (first page only) is NOT a part of the 'Proof of Designation' and shall not be included in the same. This is only for clarification purpose.

This Certificate **SHOULD BE ON THE LETTER HEAD OF THE INTERMEDIARY** (registered with SEBI as "Portfolio Manager") and **SHOULD BE SIGNED BY THE COMPLIANCE OFFICER ONLY**. Further, the Registration Certificate of the Portfolio Manager should be enclosed.

Date of issue:
Letter No.:

Proof of Designation

"This is to certify that _____ *(Name of the Candidate)*, designated as:
(tick the appropriate box)

Proprietor / Sole Proprietor	
Partner / Managing Partner	
Chairman	
Whole Time Director	
Executive Director / Director	
Chief Executive Officer	

of _____ *(Name of the Portfolio Manager), is* **'Principal'** as per **SEBI (CSPSM) Regulation 2007, Sub regulation 2 (k)** and is eligible to obtain the CPE Certificate by attending the CPE Program of NISM-Series-XXI-B: Portfolio Managers Certification, as per sub-regulation (2) of regulation 4 of SEBI (CSPSM) Regulations, 2007.

I am aware that **NISM may seek further clarification** *(if required)* and that the Certificate of the Candidate shall be issued only on finding the above information to be authentic."

Name of Compliance Officer:

Signature of Compliance Officer with Company SEAL:

SEBI Registration Number of the Portfolio Manager: _____

Enclosure: Registration Certificate of the PMS registered with SEBI (where the Candidate is currently employed).