Instructions

This page (first page only) is NOT a part of the 'Proof of Experience' and shall not be included in the same. This is only for clarification purpose.

The 'Proof of Experience' has to be on the **LETTER HEAD OF THE INTERMEDIARY (registered with SEBI as Portfolio Manager)** *(in which the Candidate is currently employed)* and should be signed by the **COMPLIANCE OFFICER ONLY.** Further, the Registration Certificate of the Portfolio Manager should be enclosed.

Date of issue:
Letter No.:

Proof of Experience

"This is to certify that _____ *(Name of the Candidate)*, is: either (a) currently employed with us and is functioning as Principal Officer, or (b) is our employee having decision making authority related to fund management. He/she has a total experience of _____ *(number of years)* years and _____ *(number of months)* months, **as on issuance date of this letter**, as Principal Officer of a PMS and/or as employee of a PMS with decision making authority related to fund management and is thereby eligible to obtain the CPE Certificate by attending the **CPE Program of NISM-Series-XXI-B: Portfolio Managers Certification** as per **sub-regulation (3) of regulation 4 of SEBI (CSPSM) Regulations, 2007.**

The details of his / her experience are as follows: *(please use additional sheet if required)*

S.No	Name of the Intermediary (SEBI Registered Portfolio Manager)	SEBI Registration Number of the Intermediary	Date of Joining	Date of Leaving	Total years and months of Experience
1					
2					
3					
4					
5					
6					

I am aware that **NISM may seek further clarification** *(if required)* and that the Certificate of the Candidate shall be issued only on finding the above information to be authentic."

Name of Compliance Officer:

Signature of Compliance Officer with Company SEAL:

SEBI Registration Number of the Portfolio Manager: _____

Enclosure: Registration Certificate of the PMS registered with SEBI *(where the Candidate is currently employed)*