Instructions

This page (first page only) is NOT a part of the 'Proof of Experience' and shall not be included in the same. This is only for clarification purpose.

1. The 'Proof of Experience' has to be on the **LETTER HEAD OF THE SEBI / EXCHANGE REGISTERED INTERMEDIARY** *(in which the Candidate is currently employed)* and has to be signed by the **COMPLIANCE OFFICER** or the Director / Proprietor / Partner / HR Manager of the Intermediary *(in the absence of the Compliance Officer)*
2. The **REGISTRATION CERTIFICATE OF THE INTERMEDIARY** *(in which the Candidate is currently employed)* **WITH SEBI / EXCHANGE** has to be enclosed along with this 'Proof of Experience'

Date of issue:
Letter No.:

Proof of Experience

"This is to certify that _____ *(Name of the Candidate)*, who is employed currently with us, has a total experience of _____ *(number of years)* years and _____ *(number of months)* months, **as on issuance date of this letter**, as an approved user and sales person of trading member(s) of Equity Derivatives Exchange(s) or of the Equity Derivatives Segment of recognized stock exchange(s) and is eligible to obtain the CPE Certificate by attending the **NISM-Series-VIII: Equity Derivatives CPE Program** as per **sub-regulation (4) of regulation 3 of SEBI (CSPSM) Regulations, 2007.**

The details of his / her experience are as follows: *(use additional sheet if required)*

S.No	Name of the Intermediary	SEBI/Exchange Registration Number of the Intermediary	Date of Joining	Date of Leaving	Total years and months of Experience
1					
2					
3					

I am aware that **NISM may seek further clarification** *(if required)* and that the Certificate of the Candidate shall be issued only on finding the above information to be authentic"

Enclosure: Registration Certificate of the Intermediary *(where the Candidate is currently employed)* **with SEBI/Exchange**

Name of the Issuing Authority:

Designation of the Issuing Authority:
(Compliance Officer / Director / Proprietor / Partner / HR Manager)

Signature of the Issuing Authority with Company SEAL: